

*p*-adic  $L$ -functions for non-critical adjoint  
 $L$ -values

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# Abstract

$p$ -adic  $L$ -functions for non-critical adjoint  $L$ -values

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Let  $K$  be an imaginary quadratic field, with associated quadratic character  $\alpha$ . We construct an analytic  $p$ -adic  $L$ -function interpolating the special values  $L(1, \text{ad}(f) \otimes \alpha)$  as  $f$  varies in a Hida family; these values are non-critical in the sense of Deligne.

Our approach is based on Greenberg–Stevens’ idea of  $\Lambda$ -adic modular symbols. By considering cohomology with values in a space of  $p$ -adic measures, we construct a  $\Lambda$ -adic evaluation map that interpolates Hida’s integral expression as the weight varies. The  $p$ -adic  $L$ -function is obtained by applying this map to a cohomology class corresponding to the given Hida family.

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*To Thomas Kwok-Keung Au*

# Chapter 1

## Introduction

### 1.1 Background

Let  $f \in S_k(N, \chi)$  be a primitive cuspidal eigenform of weight  $k \geq 2$ , level  $N$ , and Nebentype  $\chi$ . The adjoint  $L$ -function of  $f$  is defined as the Euler product

$$L(s, \text{ad}(f)) = \prod_{\ell} L_{\ell}(s, \text{ad}(f)),$$

where

$$L_{\ell}(s, \text{ad}(f)) = \left[ \left( 1 - \frac{\alpha_{\ell}}{\beta_{\ell}} \ell^{-s} \right) (1 - \ell^{-s}) \left( 1 - \frac{\beta_{\ell}}{\alpha_{\ell}} \ell^{-s} \right) \right]^{-1}$$

at the unramified places  $\ell$ , and  $\alpha_{\ell}$  and  $\beta_{\ell}$  are the roots of the  $\ell$ -th Hecke polynomial  $X^2 - a_{\ell}(f)X + \chi(\ell)\ell^{k-1}$ . By the work of Shimura [Shi75] (and [GJ78] for general automorphic representations of  $\text{GL}_2$ ),  $L(s, \text{ad}(f))$  admits meromorphic continuation to  $s \in \mathbf{C}$  and satisfies a functional equation under  $s \leftrightarrow 1 - s$ . In [Hid81a], Hida establishes an integrality result for the algebraic part  $L^{\text{alg}}(1, \text{ad}(f))$  (i.e.,  $L(1, \text{ad}(f))$  divided by the product of the Manin periods), and shows that the prime factors of  $L^{\text{alg}}(1, \text{ad}(f))$  are congruence primes of  $f$ , i.e., primes  $p$  for which there exists another eigenform  $g$  such that  $f \equiv g \pmod{p}$ . The converse is proved in [Hid81b] for primes which are

ordinary for  $f$ , and in [Rib83] in general.

In the ordinary case, Hida's criterion is deduced from a precise identity relating the adjoint  $L$ -value  $L(1, \text{ad}(f))$  with the size of the congruence module associated with  $f$  [Hid81a, Hid88], which allows him to construct an *algebraic*  $p$ -adic  $L$ -function interpolating these values as  $f$  varies in an ordinary family. The congruence module is in turn related to the Selmer group of the adjoint motive of  $f$  by Wiles' proof of Fermat's last theorem. This connection can be understood as a non-abelian class number formula, or more precisely the Bloch–Kato conjecture for the adjoint motive of  $f$ .

In his PhD thesis [Urb95], Urban generalizes Hida's results to the case of Bianchi modular forms  $F$  over an imaginary quadratic field  $K$ ; namely, he shows that the value  $L(1, \text{ad}(F))$  modulo the product  $u_1(F) \cdot u_2(F)$  of two suitable periods, which are defined via comparison between de Rham and Betti cohomologies of the associated Bianchi threefold, is an algebraic integer and gives the size of the congruence module of  $F$ ; hence, its prime factors are congruence primes of  $F$ . When  $F = f_K$  is the base-change of a classical eigenform  $f$  to  $K$ , there is a factorization

$$L(s, \text{ad}(F)) = L(s, \text{ad}(f))L(s, \text{ad}(f) \otimes \alpha),$$

where  $\alpha$  is the quadratic character associated with  $K$  by class field theory. By combining the results of Hida and Urban, the value  $L(1, \text{ad}(f) \otimes \alpha)$  thus controls congruences between the base-change of  $f$  and non-base-change Bianchi forms on  $K$ . It is worth noting that  $L(1, \text{ad}(f) \otimes \alpha)$  is *non-critical* in the sense of Deligne [Del79].

The value  $L(1, \text{ad}(f) \otimes \alpha)$  was further studied by Hida [Hid99], where an integral formula is proved despite its non-criticality. From this, Hida establishes an integrality result for  $L(1, \text{ad}(f) \otimes \alpha)$  modulo the period  $u_2(f_K)$  and formulates a conjecture relating this value with, in essence, the Selmer group of the adjoint motive of  $f$  twisted by  $\alpha$ . In a recent work [TU18], Tilouine and Urban establish integral period relations of

base-change modular forms and prove a version of Hida’s conjecture.

It is natural to interpolate the result of [TU18] in a  $p$ -adic family and formulate an analogue of the Iwasawa–Greenberg main conjecture for  $\mathrm{ad}(f) \otimes \alpha$  in the weight direction. As a first step towards this, we construct an *analytic*  $p$ -adic  $L$ -function interpolating the values  $L(1, \mathrm{ad}(f) \otimes \alpha)$  as  $f$  varies in a  $p$ -adic family.

## 1.2 Main result

As before, let  $K$  be an imaginary quadratic field with corresponding quadratic character  $\alpha$ , and  $p$  be an odd prime which is split in  $K$ . Fix a sufficiently large  $p$ -adic ring of integers  $\mathcal{O}$ , and denote by  $\Lambda_{\mathbf{Q}} := \mathcal{O}[[1+p\mathbf{Z}_p]]$  the Iwasawa algebra. Let  $\omega : (\mathbf{Z}/p\mathbf{Z})^\times \rightarrow \mathbf{Z}_p^\times$  be the  $p$ -adic Teichmüller character.

In this thesis, we construct an analytic  $p$ -adic  $L$ -function that interpolates the algebraic part of  $L(1, \mathrm{ad}(f) \otimes \alpha)$  as  $f$  varies in a Hida family  $\lambda : \mathbf{h}_{\mathbf{Q}} \rightarrow \mathbf{I}$  with tame character  $\alpha\omega^r$  for some integer  $r$  (necessarily odd); here  $\mathbf{h}_{\mathbf{Q}}$  is the universal ordinary Hecke algebra for  $\mathrm{GL}_2(\mathbf{Q})$  and  $\mathbf{I}$  is a finite flat extension of  $\Lambda_{\mathbf{Q}}$  (see [Hid86a, Hid86b]). For simplicity, let us state the main result in the case  $\mathbf{I} = \Lambda_{\mathbf{Q}}$ . Thus  $\lambda$  gives an ordinary  $\Lambda_{\mathbf{Q}}$ -adic form  $\mathbf{f} \in \mathbf{S}^{\mathrm{ord}}(N, \alpha\omega^r; \Lambda_{\mathbf{Q}})$  which specializes to an ordinary eigenform  $\mathbf{f}_n \in S_n(Np, \alpha\omega^{r-n})$  at each  $n \in \mathbf{Z}_{\geq 2}$ ; in particular, when  $n \equiv r \pmod{p-1}$ ,  $\mathbf{f}_n \in S_n(Np, \alpha)$  has Nebentype  $\alpha$ . Let us denote

$$A_r := \{n \in \mathbf{Z}_{\geq 2} : n \equiv r \pmod{p-1}\}.$$

**Main Theorem.** *Suppose  $\mathbf{f} \in \mathbf{S}^{\mathrm{ord}}(N, \alpha\omega^r; \Lambda_{\mathbf{Q}})$  is a Hida family. There exists  $\mathcal{L} \in \mathrm{Frac}(\Lambda_{\mathbf{Q}})$  which specializes to*

$$c_n \cdot (1 - a_p(\mathbf{f}_n)^{-2} p^{n-1}) L^{\mathrm{alg}}(1, \mathrm{ad}(\mathbf{f}_n) \otimes \alpha)$$

at almost all  $n \in A_r$ . Here the algebraic part  $L^{\text{alg}}$  is defined in Proposition 2.5.2, and the  $p$ -adic error term  $c_n \in \overline{\mathbf{Q}_p}^\times$  is defined in Definition 5.2.2.

A precise statement, which covers the case of  $\mathbf{I}$ -adic forms (still with Nebentype  $\alpha\omega^r$ ), is given in Theorem 5.3.1. Several remarks are in order:

- To the best of our knowledge, this is the first instance of a  $p$ -adic  $L$ -function that interpolates non-critical  $L$ -values.
- We make no attempt to control the  $p$ -adic error terms  $c_n$ ; see the discussion following Definition 5.2.2.
- While  $A_r$  is a dense subset of  $\mathbf{Z}_p$ , it would be more satisfactory to relax the condition on Nebentypes. This difficulty is caused by the form of Hida’s integral formula for  $L(1, \text{ad}(f) \otimes \alpha)$ , and will be briefly addressed in Section 5.4.

### 1.3 Outline

We give an overview of the strategy.

The starting point is Hida’s integral formula for  $L(1, \text{ad}(f) \otimes \alpha)$  in [Hid99], which is reviewed in Chapter 2 following a terse account on Bianchi modular forms. Denote by  $f_K$  the base-change Bianchi form of  $f$ . For  $q = 1, 2$ , the Eichler–Shimura–Harder isomorphism associates to  $f_K$  a cohomology class

$$\delta_q(f_K) \in H_{\text{cusp}}^q(Y_K, V_{n,n}(\mathbf{C})),$$

which can be represented by a harmonic  $q$ -form on the Bianchi threefold  $Y_K$ ; here  $V_{n,n}(\mathbf{C})$  is the local system corresponding to the irreducible polynomial representation  $\text{Sym}^n \mathbf{C}^2 \otimes \overline{\text{Sym}^n \mathbf{C}^2}$  of  $\text{GL}_2(K)$ .

Hida’s formula expresses the value  $L(1, \text{ad}(f) \otimes \alpha)$  as a suitable integral of  $\delta_2(f_K)$  over the modular curve  $Y_{\mathbf{Q}}$  viewed as a 2-cycle in  $Y_K$ . This can be summarized as a

linear form

$$\mathbb{L}_n : H_c^2(Y_K, V_{n,n}(\mathbf{C})) \rightarrow H_c^2(Y_{\mathbf{Q}}, V_{n,n}(\mathbf{C})) \rightarrow H_c^2(Y_{\mathbf{Q}}, \mathbf{C}) \xrightarrow{\sim} \mathbf{C},$$

where the first map is induced by restriction along  $Y_{\mathbf{Q}} \hookrightarrow Y_K$ , the second map is induced by the canonical projection  $V_{n,n}(\mathbf{C}) \rightarrow \mathbf{C}$  of  $\mathrm{SL}_2(\mathbf{Z})$ -representations under the Clebsch–Gordan decomposition, and the third map is integration over  $Y_{\mathbf{Q}}$ . A key feature of the linear form  $\mathbb{L}_n$  is that it makes sense over a number field in place of  $\mathbf{C}$  (hence over a  $p$ -adic field). Upon normalizing  $\delta_2(f_K)$  by Urban’s period  $u_2(f_K)$ , we obtain a cohomology class  $\widehat{\delta}_2(f_K) \in H_c^2(Y_K, V_{n,n}(\mathcal{O}))$  defined over some valuation ring  $\mathcal{O}$ , and the algebraic part of  $L(1, \mathrm{ad}(f) \otimes \alpha)$ :

$$L^{\mathrm{alg}}(1, \mathrm{ad}(f) \otimes \alpha) = \mathbb{L}_n \left( \widehat{\delta}_2(f_K) \right) \in \mathcal{O}.$$

In Chapter 3 we begin working  $p$ -adically, replacing the coefficient ring  $\mathcal{O}$  by a  $p$ -adic completion. To construct a  $p$ -adic  $L$ -function for  $L^{\mathrm{alg}}(1, \mathrm{ad}(f) \otimes \alpha)$ , we adopt the standard technique of  $\Lambda$ -adic modular symbols following Mazur–Kitagawa [Kit94] and Greenberg–Stevens [GS93]. The problem essentially boils down to the following steps:

- (a) constructing an Iwasawa module  $\mathbf{M}$  that specializes to  $H_c^2(Y_K, V_{k,k}(\mathcal{O}))$  for each  $k$ ;
- (b) defining a linear form on  $\mathbf{M}$  that interpolates  $\mathbb{L}_k : H_c^2(Y_K, V_{k,k}(\mathcal{O})) \rightarrow \mathcal{O}$  as  $k$  varies  $p$ -adically over the weight space;
- (c) extracting an element in (the ordinary part of)  $\mathbf{M}$  that belongs to a system of Hecke eigenvalues corresponding to a given Hida family.

Following [GS93],  $\mathbf{M}$  will be the cohomology group  $H_c^2(Y_K, \mathcal{D})$  with coefficients in an appropriate space of measures  $\mathcal{D}$ , which is a module over the Iwasawa algebra

$\Lambda = \mathcal{O}[[\mathbf{Z}_p^\times]]$ . The key properties are that:

- $\mathcal{D}$  admits natural specialization maps  $\mathcal{D} \rightarrow V_{k,k}(\mathcal{O})$  for all  $k$  (see Section 3.4);
- the Clebsch–Gordan projection  $V_{k,k}(\mathcal{O}) \rightarrow \mathcal{O}$  involved in Hida’s evaluation map  $\mathbb{L}_k$  is the specialization of a map  $\mathcal{D} \rightarrow \Lambda$  (see Section 3.5).

Then one might expect the induced diagram

$$\begin{array}{ccc} H_c^2(Y_K, \mathcal{D}) & \xrightarrow{\mathbb{L}_\Lambda} & \Lambda \\ \downarrow & & \downarrow \\ H_c^2(Y_K, V_{k,k}(\mathcal{O})) & \xrightarrow{\mathbb{L}_k} & \mathcal{O} \end{array}$$

to provide an answer to (a) and (b). Unfortunately this diagram does not commute, but the defect can be explicitly computed as an Euler factor; this cohomological calculation is carried out in Chapter 4.

In Chapter 5, we address (c) by applying Hida theory to find a desired eigensystem  $\mathcal{F}$  in  $H_c^2(Y_K, \mathcal{D})$ . Comparing its specializations with the normalized classes  $\widehat{\delta}_2(-) \in H_c^2(Y_K, V_{k,k}(\mathcal{O}))$  gives the  $p$ -adic error terms  $c_k$ .

*Remark.* It is best to refrain from calling these  $p$ -adic periods because they do not arise from the comparison of cohomological realizations of the underlying motive.

Finally, the  $p$ -adic  $L$ -function is constructed by evaluating the class  $\mathcal{F}$  under  $\mathbb{L}_\Lambda$ .

# Chapter 2

## Preliminaries

We begin by giving a brief account of Bianchi modular forms, i.e., automorphic forms on  $\mathrm{GL}_2(K)$ . Rather than giving a self-contained survey, our focus is merely on setting up notation; therefore we will omit details that are not necessary for the remainder of this thesis, and refer the reader to other sources, such as [Hid94a], [Urb95], [Gha99] and [Wil17].

In the second half of this section, we recall Hida's integral formula for  $L(1, \mathrm{ad}(f) \otimes \alpha)$  in [Hid99] and interpret it in terms of cohomology.

### 2.1 Bianchi modular forms

Let  $K = \mathbf{Q}(\sqrt{-D})$  be an imaginary quadratic field with discriminant  $-D$  and different  $\mathfrak{d} = (\sqrt{-D})$ . Let  $\alpha = \left(\frac{-D}{\cdot}\right)$  be the quadratic character associated with  $K$ , which is odd.

Let  $\mathcal{O}_K$  be the ring of integers,  $\widehat{\mathcal{O}}_K = \mathcal{O}_K \otimes_{\mathbf{Z}} \widehat{\mathbf{Z}}$ , and  $\mathbf{A}_K$  (resp.  $\mathbf{A}_K^\times$ ,  $\mathbf{A}_{K,f}$ ,  $\mathbf{A}_{K,f}^\times$ ) be the ring of adèles (resp. ideles, finite adèles, finite ideles) over  $K$ .

For any commutative ring  $R$ , let  $W_n(R)$  be the space of homogeneous polynomials in two variables (denoted as  $S$  and  $T$ ) of degree  $n$  with coefficients in  $R$ , equipped with



the natural right action of  $\mathrm{GL}_2$ : for  $k = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(R)$  and  $P \in W_n(R)$ , we have

$$(P|k)(S, T) = P((S, T) \cdot {}^t k),$$

i.e.,  $(P|k)(S, T) = P(aS + bT, cS + dT)$ . In particular, this restricts to a right action of  $\mathrm{SU}_2(\mathbf{C})$  on  $W_n(\mathbf{C})$ .

*Remark.*

- Later we will use  $V_n(R)$  to denote the same space, but considered with a left action of  $\mathrm{GL}_2$ .
- For consistency with literature, we use the slightly misleading notation  $\mathrm{SU}_2(\mathbf{C}) \subset \mathrm{GL}_2(\mathbf{C})$  to denote the special unitary group, despite it being the real points of a group scheme.

### 2.1.1 Adelic automorphic forms

**Definition 2.1.1.** Let  $U$  be a compact open subgroup of  $\mathrm{GL}_2(\mathbf{A}_{K,f})$ . Denote by  $S_{n,n}(U)$  the space of cuspidal automorphic forms on  $\mathrm{GL}_2(K)$  of parallel weight  $(n, n)$  and level  $U$ , which are functions  $F : \mathrm{GL}_2(\mathbf{A}_K) \rightarrow W_{2n+2}(\mathbf{C})$  satisfying:

1.  $F(\gamma g) = F(g)$  for all  $\gamma \in \mathrm{GL}_2(K)$ ;
2.  $F(gu) = F(g)$  for all  $u \in U$ ;
3.  $F(zgk) = |z|^{-n} \cdot F(g)|k$  for all  $z \in Z(\mathrm{GL}_2(\mathbf{C})) \cong \mathbf{C}^\times$  and  $k \in \mathrm{SU}_2(\mathbf{C})$ ;
4. a harmonicity condition;
5. a cuspidality condition.

*Remark.* More generally, cohomological weights for  $\mathrm{GL}_2(K)$  are parametrized by pairs  $(\mathbf{n}, \mathbf{v})$ , where  $\mathbf{n} = (n, n) \in \mathbf{Z}^2$  with  $n \geq 0$  and  $\mathbf{v} = (v_1, v_2) \in \mathbf{Z}^2$  is arbitrary, but the special case  $\mathbf{v} = (0, 0)$  is sufficient for our setting.

In this thesis, we will restrict our attention to  $S_{n,n}(U_0(N))$ , where

$$U_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(\widehat{\mathcal{O}}_K) : c \in N\widehat{\mathcal{O}}_K \right\}.$$

for some positive integer  $N$ ; in other words, the Bianchi cusp forms we consider will always have level  $N$  and *trivial* Nebentype.

Every  $F \in S_{n,n}(U_0(N))$  has a Fourier–Whittaker expansion

$$F \begin{pmatrix} y & x \\ 0 & 1 \end{pmatrix} = |y|_{\mathbf{A}_K} \sum_{\xi \in K^\times} a(\xi y \mathfrak{d}; F) W(\xi y_\infty) \mathbf{e}_K(\xi x) \quad (2.1.1)$$

where:

- $|\cdot|_{\mathbf{A}_K}$  is the usual idele character on  $\mathbf{A}_K^\times$  trivial on  $K^\times$ ;
- $\mathfrak{d} = (\sqrt{-D})$  is the different of  $K/\mathbf{Q}$ ;
- $\mathfrak{n} \mapsto a(\mathfrak{n}; F)$  is a  $\mathbf{C}$ -valued function on the fractional ideals of  $K$  which vanishes outside the set of integral ideals;
- $W : \mathbf{C}^\times \rightarrow W_{2n+2}(\mathbf{C})$  is the Whittaker function

$$W(s) = \sum_{\alpha=0}^{2n+2} \binom{2n+2}{\alpha} \left( \frac{s}{i|s|} \right)^{n+1-\alpha} K_{\alpha-n-1}(4\pi|s|) S^{2n+2-\alpha} T^\alpha,$$

where  $K_\alpha$  is the modified Bessel function;

- $\mathbf{e}_K$  is the standard additive character of  $\mathbf{A}_K$  trivial on  $K$ , given by

$$\mathbf{e}_K = (\mathbf{e}_\infty \circ \mathrm{tr}_{\mathbf{C}/\mathbf{R}}) \cdot \prod_{\mathfrak{p}} (\mathbf{e}_p \circ \mathrm{tr}_{K_{\mathfrak{p}}/\mathbf{Q}_p}),$$

where  $\mathbf{e}_\infty(r) = e^{2\pi ir}$  and  $\mathbf{e}_p(\sum_j c_j p^j) = e^{-2\pi i \sum_{j < 0} c_j p^j}$ .

The Hecke algebra  $h_{n,n}(U_0(N)) \subset \mathrm{End}_{\mathbf{C}}(S_{n,n}(U_0(N)))$  acts on the space  $S_{n,n}(U_0(N))$ , but we will not recall the precise formulas here. To every Hecke eigenform  $F$ , i.e., a cusp form which is an eigenfunction under all the Hecke operators  $T_n$ , one can associate an algebra homomorphism  $\lambda_F : h_{n,n}(U_0(N)) \rightarrow \mathbf{C}$  such that  $T_n F = \lambda_F(T_n) F$ . An eigenform  $F$  is said to be normalized if  $a(\mathcal{O}_K; F) = 1$  in the Fourier–Whittaker expansion (2.1.1).

## 2.1.2 Classical automorphic forms

Next we review how an adelic Bianchi modular form on  $\mathrm{GL}_2(K)$  gives rise to a tuple of classical automorphic forms on the upper half-space

$$\mathcal{H}_3 = \{x + iy : x \in \mathbf{C}, y \in \mathbf{R}_{>0}\},$$

which can be identified with the symmetric space  $\mathrm{GL}_2(\mathbf{C})/(\mathbf{C}^\times \cdot \mathrm{SU}_2(\mathbf{C}))$ .

Let  $h$  be the class number of  $K$ , and fix a set of representatives  $a_i \in \mathbf{A}_{K,f}^\times$  of the class group (with  $a_1 = 1$ ). Then the strong approximation theorem for  $\mathrm{GL}_2$  gives

$$\mathrm{GL}_2(\mathbf{A}_K) = \prod_{i=1}^h \mathrm{GL}_2(K) \cdot t_i U_0(N) \mathrm{GL}_2(\mathbf{C}),$$

where  $t_i = \begin{pmatrix} a_i & 0 \\ 0 & 1 \end{pmatrix}$ . We set

$$\Gamma_0^{K,i}(N) = \mathrm{SL}_2(K) \cap t_i U_0(N) \mathrm{GL}_2(\mathbf{C}) t_i^{-1} \subset \mathrm{SL}_2(K)$$

and often abbreviate this as  $\Gamma^i$ . For  $i = 1$ , note that

$$\Gamma_0^{K,1}(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(\mathcal{O}_K) : N \mid c \right\}$$

is the congruence subgroup  $\Gamma_0^K(N)$  of level  $N$  in the classical sense.

The (adelic) Bianchi threefold of level  $N$  is the locally symmetric space

$$\tilde{Y}_K(N) = \mathrm{GL}_2(K) \backslash \mathrm{GL}_2(\mathbf{A}_K) / (\mathbf{C}^\times \cdot \mathrm{SU}_2(\mathbf{C}) \cdot U_0(N)).$$

It decomposes into connected components

$$\tilde{Y}_K(N) = \prod_{i=1}^h Y_K^i(N),$$

where

$$\begin{aligned} Y_K^i(N) &= \Gamma_0^{K,i}(N) \backslash \mathrm{GL}_2(\mathbf{C}) / (\mathbf{C}^\times \cdot \mathrm{SU}_2(\mathbf{C})) \\ &= \Gamma_0^{K,i}(N) \backslash \mathcal{H}_3. \end{aligned}$$

Let  $F \in S_{n,n}(U_0(N))$ . For each  $1 \leq i \leq h$ , the function  $F_i : \mathrm{GL}_2(\mathbf{C}) \rightarrow W_{2n+2}(\mathbf{C})$  defined by

$$F_i(g) = F(t_i g)$$

descends to a classical automorphic form  $\mathcal{H}_3 \rightarrow W_{2n+2}(\mathbf{C})$  of weight  $(n, n)$  and level

$\Gamma_0^{K,i}$ ; this space is denoted  $S_{n,n}(\Gamma_0^{K,i}(N))$ . It can be easily checked that the Fourier–Whittaker expansion (2.1.1) descends to

$$F_i(x + iy) = |a_i|_{\mathbf{A}_K} y \sum_{\alpha=0}^{2n+2} \binom{2n+2}{\alpha} \cdot \left[ \sum_{\xi \in K^\times} a(\xi a_i \mathfrak{d}) \left( \frac{\xi}{i|\xi|} \right)^{n+1-\alpha} K_{\alpha-n-1}(4\pi y|\xi|) \mathbf{e}_K(\xi x) \right] S^{2n+2-\alpha} T^\alpha$$

for  $x + iy \in \mathcal{H}_3$ . In this manner, every cuspidal automorphic form on  $\mathrm{GL}_2(\mathbf{A}_K)$  determines an  $h$ -tuple of automorphic forms on  $\mathcal{H}_3$ .

Our focus will be on the component for  $i = 1$ , so we will simplify notation as  $Y_K(N) = Y_K^1(N)$  and call this the (classical) Bianchi threefold of level  $N$ . The classical automorphic form  $F_1 : \mathcal{H}_3 \rightarrow W_{2n+2}(\mathbf{C})$  belongs to  $S_{n,n}(\Gamma_0^K(N))$ .

### 2.1.3 Base change

Now we state the basic properties of base-change lifts from  $\mathrm{GL}_2(\mathbf{Q})$  to  $\mathrm{GL}_2(K)$ . Details can be found in [Jac72] and [Lan80]. For a classical elliptic newform  $f \in S_k(N, \psi)$ , we denote its base-change adelic Bianchi form by  $f_K$  or  $\mathrm{BC}(f)$ , preferring the latter notation when other subscripts are present. Throughout we impose:

**Hypothesis.**  $f_K$  is cuspidal.

This is satisfied, for example, when  $f$  is not a CM form with character  $\alpha$  (the quadratic character associated with  $K$ ). Then:

- $f_K$  is a cuspidal Bianchi newform of weight  $(k-2, k-2)$ , level  $N$  and Nebentype  $\chi = \psi \circ N_{K/\mathbf{Q}}$  (see the next remark).
- The Hecke eigenvalues of  $f_K$  can be described in terms of the eigenvalues  $a_\ell$  of

$f$ : for every prime  $\mathfrak{l}$  of  $K$  above  $\ell$ ,

$$a_{\mathfrak{l}} = \begin{cases} a_{\ell} & \text{if } \ell \text{ is split or ramified,} \\ a_{\ell}^2 - 2\psi(\ell)\ell^{k-1} & \text{if } \ell \text{ is inert,} \end{cases}$$

where  $\psi(\ell)$  is understood as 0 if  $\ell$  divides the level  $N$ .

*Remark.* Our negligence to define the Nebentype of a Bianchi form is going to be harmless, as all base-change forms considered in this thesis have trivial Nebentype and hence belong to  $S_{k-2, k-2}(U_0(N))$ .

## 2.2 Cohomology and the Eichler–Shimura–Harder isomorphism

The Eichler–Shimura–Harder isomorphism relates spaces of (cohomological) automorphic forms and cohomology groups on the associated locally symmetric spaces. For the purpose of introducing Hida’s integral formula, it is enough to consider the classical version of this isomorphism, i.e., between classical Bianchi forms and cohomology on the classical Bianchi threefold  $Y_K^1(N)$ , rather than the adelic counterpart  $\tilde{Y}_K(N)$ . This is sufficient because we will only deal with Bianchi modular forms arising from base change of elliptic modular forms.

### 2.2.1 Cohomology of the Bianchi threefold

For the rest of the thesis, we impose:

**Hypothesis.**  $Y_K(N)$  is smooth, and  $\Gamma_0^K(N)/(\Gamma_0^K(N) \cap K^\times)$  is torsion-free.

These are satisfied for  $N$  sufficiently large.

If  $M$  is any  $\Gamma_0^K(N)$ -module, we can consider the locally constant sheaf of continuous sections of

$$\Gamma_0^K(N) \backslash (\mathrm{GL}_2(\mathbf{C}) / (\mathbf{C}^\times \cdot \mathrm{SU}_2(\mathbf{C})) \times M) \rightarrow \Gamma_0^K(N) \backslash \mathrm{GL}_2(\mathbf{C}) / (\mathbf{C}^\times \cdot \mathrm{SU}_2(\mathbf{C})) = Y_K(N)$$

where  $M$  is equipped with the discrete topology, and hence the cohomology groups

$$H^q(Y_K(N), M), \quad H_c^q(Y_K(N), M),$$

as well as the cuspidal cohomology group

$$H_{\mathrm{cusp}}^q(Y_K(N), M) := \mathrm{im}(H_c^q(Y_K(N), M) \rightarrow H^q(Y_K(N), M)).$$

Under our hypotheses,  $Y_K(N)$  is an Eilenberg–MacLane space for  $\Gamma_0^K(N)$ , so sheaf cohomology  $H^q(Y_K(N), M)$  can be identified with group cohomology  $H^q(\Gamma_0^K(N), M)$ .

If, furthermore,  $M$  has the structure of a module over the monoid

$$\Delta_0^K(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathcal{O}_K) \cap \mathrm{GL}_2(K) : N \mid c, N \nmid d \right\},$$

then the cohomology groups  $H_*^q(Y_K(N), M)$  are equipped with actions of Hecke operators at principal ideals of  $\mathcal{O}_K$ ; we will not recall the definition.

*Remark.* In general, Hecke operators at non-principal ideals must be defined adelicly on the cohomology of  $\tilde{Y}_K(N)$ . However, this will not matter for the rest of the thesis, so we gloss over this point.

## 2.2.2 Cohomology of the modular curve

The situation over  $\mathbf{Q}$  is completely analogous. Consider the congruence subgroup

$$\Gamma_0^{\mathbf{Q}}(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbf{Z}) : N \mid c \right\} = \Gamma_0^K(N) \cap \mathrm{SL}_2(\mathbf{Q})$$

and the modular curve

$$Y_{\mathbf{Q}}(N) = \Gamma_0^{\mathbf{Q}}(N) \backslash \mathcal{H}_2.$$

Every  $\Gamma_0^{\mathbf{Q}}(N)$ -module  $M$  gives rise to a local system on  $Y_{\mathbf{Q}}(N)$ , and hence cohomology groups  $H_*^q(Y_{\mathbf{Q}}(N), M)$ .

If  $M$  is in addition a module over the monoid

$$\Delta_0^{\mathbf{Q}}(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbf{Z}) \cap \mathrm{GL}_2(\mathbf{Q}) : N \mid c, (d, N) = 1 \right\},$$

then  $H_*^q(Y_{\mathbf{Q}}(N), M)$  has an action of Hecke operators.

## 2.2.3 Eichler–Shimura isomorphism

For any commutative ring  $R$ , consider the space  $V_n(R) = \mathrm{Sym}^n(R^2)$  of homogeneous polynomials in  $(X, Y)$  with coefficients in  $R$ , equipped with a left action of  $\mathrm{GL}_2(R)$  by

$$(\gamma \cdot P)(X, Y) = P((X, Y) \cdot {}^t\gamma^t),$$

where  $\gamma^t = \det(\gamma)\gamma^{-1}$ , i.e.,

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot P(X, Y) = P(dX - bY, -cX + aY).$$



For  $(n_1, n_2) \in \mathbf{Z}_{\geq 0}^2$ , define

$$V_{n_1, n_2}(R) = \text{Sym}^{n_1}(R^2) \otimes \text{Sym}^{n_2}(R^2),$$

which is likewise identified concretely as the space of polynomials in  $(X_i, Y_i)_{i=1,2}$  with coefficients in  $R$  homogeneous of degree  $n$  for each pair  $(X_\sigma, Y_\sigma)$ . This space is equipped with a left action of  $\text{GL}_2(R)^2$  by the formulas above.

If  $R$  is a subalgebra of  $\mathbf{C}$ , this restricts to an action of  $\text{GL}_2(R) \subset \text{GL}_2(\mathbf{C})^2$ , embedding into the first component via identity and the second component via complex conjugation.

Applying this discussion to  $M = V_{k,k}(R)$ , viewed as a module over  $\Gamma_0^K(N)$ , we have the cohomology groups

$$H^q(Y_K(N), V_{n,n}(R)), \quad H_c^q(Y_K(N), V_{n,n}(R)), \quad H_{\text{cusp}}^q(Y_K(N), V_{n,n}(R)).$$

They are equipped with actions of Hecke operators at principal ideals of  $\mathcal{O}_K$ .

We are now ready to state:

**Theorem 2.2.1** (Eichler–Shimura, Harder). *For  $q = 1, 2$ , there is a Hecke-equivariant isomorphism*

$$\delta_q : S_{n,n}(\Gamma_0^K(N)) \xrightarrow{\sim} H_{\text{cusp}}^q(Y_K(N), V_{n,n}(\mathbf{C})).$$

We describe this isomorphism in the case  $q = 2$  explicitly, following [Hid94a]. With no risk of ambiguity, the map  $\delta_2$  will often be denoted  $\delta$ .

Let  $F \in S_{n,n}(\Gamma_0^K(N))$  be a (classical) Bianchi form, with Fourier–Whittaker expansion

sion

$$F(x + iy) = y^{n+2} \sum_{\xi \in K^\times} a(\xi y \mathfrak{d}; F) \cdot \left[ \sum_{\alpha=0}^{2n+2} \binom{2n+2}{\alpha} \left( \frac{\xi}{i|\xi|} \right)^{n+1-\alpha} K_{\alpha-n-1}(4\pi|\xi|y) S^{2n+2-\alpha} T^\alpha \right] e^{2\pi i(\xi x + \bar{\xi} x)}$$

for  $x + iy \in \mathcal{H}_3$ . Following Hida's recipe, we group the coefficients as

$$F = \sum_{\alpha=0}^{2n+2} G_\alpha \binom{2n+2}{\alpha} S^{2n+2-\alpha} T^\alpha$$

and expand the formal identity

$$\begin{aligned} & (X_1 V - Y_1 U)^n (X_2 U + Y_2 V)^n (A V - B U)^2 \\ &= \left( \sum_{j_1=0}^n (-1)^{j_1} \binom{n}{j_1} X_1^{n-j_1} Y_1^{j_1} V^{n-j_1} U^{j_1} \right) \cdot \left( \sum_{j_2=0}^n \binom{n}{j_2} X_2^{n-j_2} Y_2^{j_2} U^{n-j_2} V^{j_2} \right) \\ & \quad \cdot (A^2 V^2 - 2ABUV + B^2 U^2) \\ &= \sum_{0 \leq \mathbf{j} \leq \mathbf{n}} (-1)^{j_1} \binom{\mathbf{n}}{\mathbf{j}} X^{\mathbf{n}-\mathbf{j}} Y^{\mathbf{j}} (U^{n+j_1-j_2} V^{n-j_1+j_2+2} A^2 \\ & \quad - 2U^{n+j_1-j_2+1} V^{n-j_1+j_2+1} AB + U^{n+j_1-j_2+2} V^{n-j_1+j_2} B^2), \end{aligned}$$

where the multi-index notations  $\binom{\mathbf{n}}{\mathbf{j}} = \binom{n_1}{j_1} \binom{n_2}{j_2}$  and  $X^{\mathbf{a}} = X_1^{a_1} X_2^{a_2}$  are used. Finally, we make the following substitutions:

- $U^\alpha V^{2n+2-\alpha}$  by  $(-1)^{2n+2-\alpha} G_\alpha$ ,
- $(A, B)$  by  $(y^{-1/2} A, y^{-1/2} B)$ ,
- $(A^2, AB, B^2)$  by  $y^{-1}(dy \wedge dx, -2dx \wedge d\bar{x}, dy \wedge d\bar{x})$ .

**Proposition 2.2.2** ([Hid94a]). *The image of  $F \in S_{n,n}(\Gamma_0^K(N))$  under the Eichler-*

Shimura isomorphism  $\delta$  is the harmonic differential form

$$\begin{aligned} \delta(F) = & w \cdot \sum_{0 \leq \mathbf{j} \leq \mathbf{n}} (-1)^{n-j_2} \binom{\mathbf{n}}{\mathbf{j}} X^{\mathbf{n}-\mathbf{j}} Y^{\mathbf{j}} (G_{n+j_1-j_2} y^{-2} dy \wedge dx \\ & - G_{n+j_1-j_2+1} y^{-2} dx \wedge d\bar{x} + G_{n+j_1-j_2+2} y^{-2} dy \wedge d\bar{x}), \end{aligned}$$

on  $\mathcal{H}_3$ , where  $w = \begin{pmatrix} y^{1/2} & xy^{-1/2} \\ 0 & y^{-1/2} \end{pmatrix} \in \mathrm{SL}_2(\mathbf{C})$  acts on the variables  $(X_1, Y_1, X_2, Y_2)$  by

$$w \cdot (X_1, Y_1, X_2, Y_2) = (X_1, Y_1, X_2, Y_2) \begin{pmatrix} {}^t w^\iota & 0 \\ 0 & {}^t \bar{w}^\iota \end{pmatrix}.$$

## 2.3 $L$ -functions

We introduce the adjoint  $L$ -function for classical eigenforms and the Asai  $L$ -function for Bianchi eigenforms, and state a relationship between them in the base-change situation. Further detail and motivic interpretation can be found in [Gha99] and [Hid99].

Throughout,  $\eta : (\mathbf{Z}/m\mathbf{Z})^\times \rightarrow \mathbf{C}^\times$  will denote a primitive Dirichlet character. For  $L$ -functions with an Euler product expansion,  $L_N(s, -)$  denotes the same  $L$ -function with Euler factors at primes dividing  $N$  removed.

Let  $f = \sum_{n=1}^\infty a_n(f)q^n \in S_k(N, \psi)$  be a normalized eigenform. For each prime  $p \nmid N$ , suppose the  $p$ -th Hecke polynomial  $X^2 - a_p(f)X + \psi(p)p^{k-1}$  has roots  $\alpha_p$  and  $\beta_p$ .

**Definition 2.3.1** (Adjoint  $L$ -function). The (twisted) adjoint  $L$ -function of  $f$  is

$$L(s, \mathrm{ad}(f) \otimes \eta) := \prod_{p \nmid N} \left[ \left( 1 - \frac{\alpha_p}{\beta_p} \cdot \eta(p)p^{-s} \right) (1 - \eta(p)p^{-s}) \left( 1 - \frac{\beta_p}{\alpha_p} \cdot \eta(p)p^{-s} \right) \right]^{-1}.$$

It has meromorphic continuation to  $s \in \mathbf{C}$ , and satisfies a functional equation under  $s \leftrightarrow 1 - s$ .

Now let  $F \in S_{n,n}(U_1(N))$  be a normalized Bianchi eigenform with Nebentype  $\chi : (\mathcal{O}_K/N\mathcal{O}_K)^\times \rightarrow \mathbf{C}^\times$ . Denote by  $\chi_{\mathbf{Q}} : (\mathbf{Z}/N\mathbf{Z})^\times \rightarrow \mathbf{C}^\times$  the restriction of  $\chi$ . Recall the Fourier–Whittaker coefficients  $a(\mathfrak{n}; F)$  from (2.1.1).

**Definition 2.3.2** (Asai  $L$ -function). The (twisted) Asai  $L$ -function of  $F$  is

$$L(s, \text{As}(F) \otimes \eta) := L_{mN}(2s - 2k - 2, \eta^2 \chi_{\mathbf{Q}}) \cdot L_{K/\mathbf{Q}}(s - 1, F, \eta),$$

where

$$L_{K,\mathbf{Q}}(s, F, \eta) := \sum_{n=1}^{\infty} \frac{\eta(n)a(n\mathcal{O}_K; F)}{n^{s+1}}.$$

*Remark.* Again, we will only be concerned with Bianchi forms with trivial Nebentype.

The Asai  $L$ -function is absolutely convergent for  $\text{Re}(s)$  sufficiently large, and has meromorphic continuation to  $s \in \mathbf{C}$ .

**Lemma 2.3.3.** *If  $F = f_K$  is the base-change of  $f \in S_k(N, \psi)$ , then there is a factorization*

$$L(s + k - 1, \text{As}(F) \otimes \eta) = L(s, \alpha\psi\eta)L(s, \text{ad}(f) \otimes \psi\eta)$$

*up to Euler factors at primes dividing  $mN$ .*

*Proof (sketch).* This follows by comparing the Euler factors on both sides. The Euler product expansion of the Asai  $L$ -function can be found in [Gha99].  $\square$

## 2.4 Special value of the twisted adjoint $L$ -function

This subsection is an exposition of Hida’s integral expression for the special value  $L(1, \text{ad}(f) \otimes \alpha)$ . For clarity, we only set up the calculation for a classical eigenform with Nebentype  $\alpha$ , so that the base-change Bianchi form has trivial Nebentype.

More precisely, let  $f \in S_{n+2}(N, \alpha)$  be a normalized eigenform with Nebentype  $\alpha$ . In particular, this forces  $n$  to be odd and  $N$  to be divisible by  $D$ . The base-change

adelic Bianchi eigenform  $F = f_K$  belongs to  $S_{n,n}(U_0(N))$ , and projects to the classical Bianchi eigenform  $F_1 \in S_{n,n}(\Gamma_0^K(N))$ , which is a function on  $\mathcal{H}_3$ .

To further simplify notation, we denote the (classical) Bianchi threefold and modular curve of level  $N$  as

$$\begin{aligned} Y_K &:= Y_K(N) = \Gamma_0^K(N) \backslash \mathcal{H}_3, \\ Y_{\mathbf{Q}} &:= Y_{\mathbf{Q}}(N) = \Gamma_0^{\mathbf{Q}}(N) \backslash \mathcal{H}_2; \end{aligned}$$

this will not cause any confusion as  $N$  will not change. The natural embedding  $\mathcal{H}_2 \hookrightarrow \mathcal{H}_3$  induces  $Y_{\mathbf{Q}} \hookrightarrow Y_K$ . For suitable local systems  $M$ , we will consider the cohomology groups  $H_*^q(Y_K, M)$  and  $H_*^q(Y_{\mathbf{Q}}, M)$ .

### 2.4.1 Restriction to $\mathbf{Q}$

Recall the Eichler–Shimura isomorphism

$$\delta : S_{n,n}(\Gamma_0^K(N)) \xrightarrow{\sim} H_{\text{cusp}}^2(Y_K, V_{n,n}(\mathbf{C})).$$

and consider the differential form  $\delta(F_1)$  on  $\mathcal{H}_3$ , for which Proposition 2.2.2 gives an explicit formula.

Restriction to the upper half-plane  $\mathcal{H}_2 \subset \mathcal{H}_3$  amounts to setting  $x = \bar{x}$ , so we obtain

$$\delta(F_1)|_{\mathbf{Q}} = (-1)^{nw} \cdot \sum_{0 \leq \mathbf{j} \leq \mathbf{n}} (-1)^{j_2} \binom{\mathbf{n}}{\mathbf{j}} X^{\mathbf{n}-\mathbf{j}} Y^{\mathbf{j}} (G_{n+j_1-j_2} + G_{n+j_1-j_2+2}) y^{-2} dy \wedge dx.$$

as a differential form on  $\mathcal{H}_2$  with values in  $V_{n,n}(\mathbf{C})$ .

## 2.4.2 Projection onto 1-dimensional subrepresentation

While  $V_{n,n}(\mathbf{C})$  is an irreducible representation of  $\mathrm{SL}_2(\mathcal{O}_K)$ , it is no longer irreducible as a module over  $\mathrm{SL}_2(\mathbf{Z})$ . The following decomposition is well-known.

**Lemma 2.4.1** (Clebsch–Gordan decomposition). *Let  $R$  be any  $\mathbf{Z}[\frac{1}{n}]$ -algebra. There is an isomorphism*

$$V_{n,n}(R) \cong \bigoplus_{i=0}^n V_{2n-2i}(R)$$

of modules over  $\mathrm{SL}_2(\mathbf{Z})$ , given by  $\oplus (i!)^{-2} \nabla^i$ , where  $\nabla$  is the differential operator

$$\nabla = \frac{\partial^2}{\partial X_2 \partial Y_1} - \frac{\partial^2}{\partial X_1 \partial Y_2}.$$

This decomposition induces

$$H_{\mathrm{cusp}}^2(Y_{\mathbf{Q}}, V_{n,n}(\mathbf{C})) \cong \bigoplus_{i=0}^n H_{\mathrm{cusp}}^2(Y_{\mathbf{Q}}, V_{2n-2i}(\mathbf{C})).$$

The component that is relevant for the special value  $L(1, \mathrm{ad}(f) \otimes \alpha)$  turns out to be  $V_0(\mathbf{C}) = \mathbf{C}$ , the trivial coefficients. Denoting this projection as

$$\pi : H_{\mathrm{cusp}}^2(Y_{\mathbf{Q}}, V_{n,n}(\mathbf{C})) \rightarrow H_{\mathrm{cusp}}^2(Y_{\mathbf{Q}}, \mathbf{C}),$$

we can easily check that

$$\pi(\delta(F_1)|_{\mathbf{Q}}) = \sum_{j=0}^n (G_{2j} + G_{2j+2}) y^{-2} dy \wedge dx.$$

## 2.4.3 A Rankin–Selberg integral

The special value formula is proved using Rankin–Selberg convolution with a suitable Eisenstein series, which we introduce now.

For any Dirichlet character  $\psi : (\mathbf{Z}/N\mathbf{Z})^\times \rightarrow \mathbf{C}^\times$  (not necessarily primitive), define

the Eisenstein series

$$E(z; s, \psi) := L_N(2s, \psi^{-1})y^s \sum_{\gamma \in U \backslash \Gamma_0(N)} \psi(\gamma) |j(\gamma, z)|^{-2s},$$

where  $U = \left\{ \pm \begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix} : m \in \mathbf{Z} \right\}$ . We record its properties as follows:

- By a standard group-theoretic argument, we have

$$E(z; s, \psi) = \frac{1}{2}y^s \sum_{\substack{(m,n) \in \mathbf{Z}^2 \\ (m,n) \neq (0,0)}} \psi(n) |mNz + n|^{-2s}.$$

- $E(z; s, \psi)$  has meromorphic continuation for all  $s \in \mathbf{C}$ , with Fourier expansion

$$E\left(-\frac{1}{Nz}; s, \psi\right) = 2^{1-2s}N^{-s}(2\pi y)^{1-s} \frac{\Gamma(2s-1)}{\Gamma(s)^2} L_N(2s-1, \psi) \\ + (\text{entire function of } s).$$

If  $\psi$  is non-trivial, then  $E(z; s, \psi)$  is holomorphic at  $s = 1$ ; otherwise, it has a simple pole with residue  $2^{-1}\pi N^{-2}\phi(N)$  (note that the extra factor of  $N^{-1}\phi(N)$  reflects the absence from  $L_N(s, \psi)$  of Euler factors at  $N$ ).

Let us denote by  $\text{id}_N : (\mathbf{Z}/N\mathbf{Z})^\times \rightarrow \mathbf{C}^\times$  the trivial Dirichlet character mod  $N$ .

**Proposition 2.4.2.**

$$\int_{\Gamma_0(N) \backslash \mathcal{H}_2} E(z; s, \text{id}_N) \cdot \pi(\delta(F_1)|_{\mathbf{Q}}) \\ = \frac{(1 + (-1)^{n+1})2^{n+s-1} \Gamma(\frac{s}{2})^2 \Gamma(s+n+1)}{(4\pi D^{-1/2})^{n+s+1} \Gamma(s)} L(s+n+1, \text{As}(F)) \quad (2.4.1)$$

where  $-D$  is the discriminant of  $K$ , and  $L(s, \text{As}(F))$  is the Asai  $L$ -function of  $F$ .

*Proof.* This follows from the Rankin–Selberg method. As a sketch:

1. A direct calculation involving properties of Bessel functions shows that

$$\int_{U \setminus \mathcal{H}_2} y^s \cdot \pi(\delta(F_1)|_{\mathbf{Q}}) = \frac{(1 + (-1)^{n+1})2^{n+s-1} \Gamma(\frac{s}{2})^2 \Gamma(s+n+1)}{(4\pi D^{-1/2})^{n+s+1} \Gamma(s)} L_{K/\mathbf{Q}}(s+n, F).$$

2. Then unfolding turns the left-hand side into

$$\begin{aligned} \int_{U \setminus \mathcal{H}_2} y^s \cdot \pi(\delta(F_1)|_{\mathbf{Q}}) &= \int_{\Gamma_0(N) \setminus \mathcal{H}_2} y^s \sum_{\gamma \in U \setminus \Gamma_0(N)} \text{id}_N(\gamma) |j(\gamma, z)|^{-2s} \cdot \pi(\delta(F_1)|_{\mathbf{Q}}) \\ &= L(2s, \text{id}_N)^{-1} \int_{\Gamma_0(N) \setminus \mathcal{H}_2} E(z; s, \text{id}_N) \cdot \pi(\delta(F_1)|_{\mathbf{Q}}). \end{aligned}$$

3. Finally, the definition of the Asai  $L$ -function gives

$$L(s+n+1, \text{As}(F)) = L(2s, \text{id}_N) L_{K/\mathbf{Q}}(s+n, F). \quad \square$$

Now we are ready to state Hida's integral formula [Hid99] in our setting.

**Theorem 2.4.3** (Hida). *Suppose  $f \in S_{n+2}(N, \alpha)$  is a normalized eigenform, with base-change Bianchi form  $F \in S_{n,n}(U_0(N))$ . Then*

$$L_N(1, \text{ad}(f) \otimes \alpha) = \frac{(2\pi D^{-1/2})^{n+2} \phi(N)}{N^2(n+1)!} \int_{\Gamma_0(N) \setminus \mathcal{H}_2} \pi(\delta(F_1)|_{\mathbf{Q}}). \quad (2.4.2)$$

*Proof.* This follows immediately by taking residues at  $s = 1$  on both sides of (2.4.1) and using the factorization from Lemma 2.3.3:

$$L(s+n+1, \text{As}(F)) = L(s, \text{id}_N) L(s, \text{ad}(f) \otimes \alpha)$$

which is an equality up to finitely many Euler factors at  $N$ . Removing those Euler factors gives the desired identity.  $\square$

For general eigenforms  $f \in S_k(N, \psi)$  with Nebentype  $\psi$ ,  $L(1, \text{ad}(f) \otimes \alpha)$  can be



obtained by twisting  $f_K$  by a suitable Hecke character  $\varphi$  on  $K$ . Since such a twist significantly complicates the notation, the construction of the  $p$ -adic  $L$ -function in the general case will appear elsewhere.

## 2.5 Algebraicity and cohomological interpretation

In this subsection, we interpret Hida's integral formula (2.4.2) for  $L(1, \text{ad}(f) \otimes \alpha)$  in terms of cohomology.

For the purpose of  $p$ -adic interpolation, it will be easier to work with compactly-supported cohomology  $H_c^q$  rather than cuspidal cohomology  $H_{\text{cusp}}^q$ , since the former enjoys better functorial properties. To make this shift, recall from [Hid99] that the natural surjection  $H_c^2(Y_K, V_{n,n}(\mathbf{C})) \rightarrow H_{\text{cusp}}^2(Y_K, V_{n,n}(\mathbf{C}))$  admits a canonical section

$$H_{\text{cusp}}^2(Y_K, V_{n,n}(\mathbf{C})) \hookrightarrow H_c^2(Y_K, V_{n,n}(\mathbf{C})).$$

Thus every harmonic 2-form considered in the previous subsection will be implicitly viewed as a cohomologous compactly-supported 2-form.

*Remark.* Elements of  $H_c^q$  on a modular variety are often called modular symbols in the literature. When  $q = 1$ , these can be realized as explicit homomorphisms on the divisor group of cusps (see [AS86] and [Wil17]), but no such identification is available for general degree  $q$ .

Suppose  $E$  is a number field containing all the Hecke eigenvalues of  $F$ . By multiplicity one [Hid94a], the  $F$ -isotypic component  $H_c^2(Y_K, V_{n,n}(E))$  is a one-dimensional vector space over  $E$ . To work with integral coefficients, suppose  $\mathcal{O}$  is the localization of the ring of integers of  $E$  at a prime above  $p$ . Since  $\mathcal{O}$  is a discrete valuation ring, the  $F$ -isotypic component of  $H_c^2(Y_K, V_{n,n}(\mathcal{O}))$  is free of rank one over  $\mathcal{O}$ . Following [Urb95], we make:

**Definition 2.5.1.** There exists a period  $u_2(F) \in \mathbf{C}^\times$ , unique up to multiplication by  $\mathcal{O}^\times$ , such that

$$\widehat{\delta}_2(F) := \frac{1}{u_2(F)} \cdot \delta_2(F) \in H_c^2(Y_K, V_{n,n}(\mathcal{O}))$$

gives an  $\mathcal{O}$ -basis of  $H_c^2(Y_K, V_{n,n}(\mathcal{O}))[F]$ .

Hida's integral expression can be interpreted as a formula for the composition

$$H_c^2(Y_K, V_{n,n}(\mathbf{C})) \xrightarrow{|\mathbf{Q}} H_c^2(Y_{\mathbf{Q}}, V_{n,n}(\mathbf{C})) \xrightarrow{\pi} H_c^2(Y_{\mathbf{Q}}, \mathbf{C}) \xrightarrow{\sim} \mathbf{C}.$$

Note that each of these steps is defined rationally over  $E$ , so we may consider

$$H_c^2(Y_K, V_{n,n}(E)) \xrightarrow{|\mathbf{Q}} H_c^2(Y_{\mathbf{Q}}, V_{n,n}(E)) \xrightarrow{\pi} H_c^2(Y_{\mathbf{Q}}, E) \xrightarrow{\sim} E.$$

**Proposition 2.5.2.** *For every normalized eigenform  $f \in S_{n+2}(N, \alpha)$ , define the algebraic part of  $L(1, \text{ad}(f) \otimes \alpha)$  to be*

$$L^{\text{alg}}(1, \text{ad}(f) \otimes \alpha) := \frac{N^2(k-1)!}{(2\pi D^{-1/2})^k \phi(N)} \cdot \frac{L_N(1, \text{ad}(f) \otimes \alpha)}{u_2(f_K)}.$$

Then

$$L^{\text{alg}}(1, \text{ad}(f) \otimes \alpha) \in E.$$

Moreover, if either  $p > n$  or  $f$  is ordinary, then

$$L^{\text{alg}}(1, \text{ad}(f) \otimes \alpha) \in \mathcal{O}.$$

*Proof.* By Hida's integral formula (2.4.2), we see that

$$L^{\text{alg}}(1, \text{ad}(f) \otimes \alpha) = \int_{\Gamma_0(N) \backslash \mathcal{H}_2} \pi(\widehat{\delta}(F_1)|_{\mathbf{Q}}).$$

The normalized form  $\widehat{\delta}(F_1)$  has coefficients in  $\mathcal{O} \subset E$ , so the evaluation map above

yields an element of  $E$ .

Note that the restriction map  $|_{\mathbf{Q}}$  and integration  $\int_{Y_{\mathbf{Q}}}$  are defined integrally over  $\mathcal{O}$ , but the projection map  $\pi = (n!)^{-1}\nabla^n$  might contain  $n!$  as a denominator. If  $p > n$ , then  $n!$  is invertible in  $\mathcal{O}$ , so  $L^{\text{alg}}(1, \text{ad}(f) \otimes \alpha) \in \mathcal{O}$ .

Finally, if  $f$  is ordinary, standard arguments [Hid88] show that  $n!$  does *not* show up in the denominator, so the same conclusion holds. Alternatively, this will follow from our  $p$ -adic interpolation, in which the  $\Lambda$ -adic version of  $\pi$  is defined over  $\mathcal{O}$ .  $\square$

# Chapter 3

## $p$ -adic interpolation via measures

In this section, we construct a  $p$ -adic interpolation for the evaluation map.

### 3.1 Setting and overview

For the rest of this thesis, fix an embedding  $\overline{\mathbf{Q}} \hookrightarrow \overline{\mathbf{Q}_p}$ , so that algebraic numbers can be viewed as  $p$ -adic numbers. The valuation ring  $\mathcal{O}$  will be replaced by its  $p$ -adic completion, which is a  $p$ -adic ring of integers; again this will not cause any confusion.

Recall that Hida's integral formula can be interpreted cohomomologically as an evaluation map

$$\mathbb{L}_k : H_c^2(Y_K, V_{k,k}(\mathcal{O})) \xrightarrow{|\mathbf{Q}|} H_c^2(Y_{\mathbf{Q}}, V_{k,k}(\mathcal{O})) \xrightarrow{\pi} H_c^2(Y_{\mathbf{Q}}, \mathcal{O}) \xrightarrow{\sim} \mathcal{O}$$

such that for  $f \in S_{k+2}(N, \alpha)$ ,

$$\mathbb{L}_k(\widehat{\delta}_2(f_K)) = L^{\text{alg}}(1, \text{ad}(f) \otimes \alpha).$$

*Remark.* Strictly speaking, the projection  $\pi$  should map into  $H_c^2(Y_{\mathbf{Q}}, \mathcal{O}[\frac{1}{k!}])$  rather than  $H_c^2(Y_{\mathbf{Q}}, \mathcal{O})$ . This simplification in notation will be harmless, since our ultimate interest

is in constructing  $p$ -adic  $L$ -functions on ordinary families. The unsettled reader might prefer to replace all cohomology groups  $H_c^2$  with their ordinary parts  $H_{c,\text{ord}}^2$ .

**Hypothesis.**  $p$  is an odd prime which is split in  $K$ .

Fix an identification of  $\mathcal{O}_{K,p} := \mathcal{O}_K \otimes_{\mathbf{Z}} \mathbf{Z}_p$  with  $\mathbf{Z}_p \times \mathbf{Z}_p$ , which is induced by the two embeddings  $K \hookrightarrow \overline{\mathbf{Q}_p}$ . This hypothesis only serves to simplify notation; the case of inert  $p$  can be dealt with easily.

From now on, the level  $N$  will always be divisible by  $p$ . As  $N$  will not change in what follows, we continue to write the Bianchi threefold and modular curve as

$$\begin{aligned} Y_K &= \Gamma_0^K(N) \backslash \mathcal{H}_3, \\ Y_{\mathbf{Q}} &= \Gamma_0^{\mathbf{Q}}(N) \backslash \mathcal{H}_2. \end{aligned}$$

We will consider local systems on  $Y_K$  arising from  $\mathcal{O}$ -modules  $M$  with an action of  $\Sigma_0(p) \times \Sigma_0(p)$ , where  $\Sigma_0(p)$  is the monoid

$$\Sigma_0(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbf{Z}_p) \cap \text{GL}_2(\mathbf{Q}_p) : c \in p\mathbf{Z}_p, d \in \mathbf{Z}_p^\times \right\}.$$

In particular, the congruence subgroup  $\Gamma_0^K(N)$  acts on such an  $M$  via the embedding

$$\Gamma_0^K(N) \hookrightarrow \Sigma_0(p) \times \Sigma_0(p)$$

induced by  $\mathcal{O}_K \hookrightarrow \mathcal{O}_{K,p} = \mathbf{Z}_p \times \mathbf{Z}_p$ . Thus it makes sense to consider the cohomology groups  $H_*^q(Y_K, M)$ , which are equipped with an action of Hecke operators; the action of the  $U_p$ -operator will be recalled explicitly in the next section.

Under the diagonal embedding  $\Sigma_0(p) \subset \Sigma_0(p)^2$ , the restricted action on  $M$  gives  $H_*^q(Y_{\mathbf{Q}}, M)$ .

Let  $A$  be any complete  $\mathbf{Z}_p$ -algebra. Recall the weight space  $\mathcal{W}$ , whose  $A$ -valued

points are  $\text{Hom}_{\text{cont}}(\mathbf{Z}_p^\times, A^\times)$ .

We first describe the space of  $A$ -valued measures  $\mathcal{D}_n(A)$  on  $\mathcal{O}_{K,p}$ , which is equipped with a weight  $k$  action and specialization maps  $\rho_k : \mathcal{D}_k(A) \rightarrow V_{k,k}(A)$  for every  $n$ .

For the Iwasawa algebra  $\Lambda = \mathcal{O}[[\mathbf{Z}_p^\times]]$  (with tautological character  $\theta : \mathbf{Z}_p^\times \rightarrow \Lambda^\times$ ), we consider  $\mathcal{D}(\Lambda)$  (equipped with a canonical weight  $\theta$  action), which should be viewed as a space parametrizing  $p$ -adic families of measures on  $\mathcal{O}_{K,p}$ . We will see that every continuous character  $\kappa : \mathbf{Z}_p^\times \rightarrow \mathcal{O}^\times$  induces a specialization map  $\text{sp}_\kappa : \Lambda \rightarrow \mathcal{O}$  and hence  $\text{sp}_\kappa : \mathcal{D}(\Lambda) \rightarrow \mathcal{D}_\kappa(\mathcal{O})$ .

Combining these, we obtain the specialization maps

$$\mathcal{D}(\Lambda) \rightarrow V_{k,k}(\mathcal{O})$$

and form the diagram:

$$\begin{array}{ccccccc} H_c^2(Y_K, \mathcal{D}(\Lambda)) & \cdots \cdots \cdots \rightarrow & H_c^2(Y_{\mathbf{Q}}, \mathcal{D}(\Lambda)) & \cdots \cdots \cdots \rightarrow & H_c^2(Y_{\mathbf{Q}}, \Lambda) & \cdots \cdots \cdots \xrightarrow{\sim} & \Lambda & (3.1.1) \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & \\ H_c^2(Y_K, V_{k,k}(\mathcal{O})) & \xrightarrow{|\mathbf{Q}|} & H_c^2(Y_{\mathbf{Q}}, V_{k,k}(\mathcal{O})) & \xrightarrow{\pi} & H_c^2(Y_{\mathbf{Q}}, \mathcal{O}) & \xrightarrow{\sim} & \mathcal{O} \end{array}$$

where the bottom row is Hida's evaluation map. Our goal is to fill in the dotted arrows and construct a "big" evaluation map

$$\mathbb{L}_\Lambda : H_c^2(Y_K, \mathcal{D}(\Lambda)) \rightarrow \Lambda$$

which specializes to

$$\mathbb{L}_k : H_c^2(Y_K, V_{k,k}(\mathcal{O})) \rightarrow \mathcal{O}$$

for every  $k$ .

Unfortunately this will not literally work out; as we shall see later, the projection map  $\mathcal{D}(\Lambda) \rightarrow \Lambda$  can only be defined on measures supported on a certain open subset

$\mathcal{O}'_{K,p} \subseteq \mathcal{O}_{K,p}$ . The failure of commutativity of this diagram will result in an Euler factor at  $p$ .

## 3.2 Generalities on $p$ -adic measures

We begin by considering matters in great generality. Throughout this section,  $X$  will be a compact totally disconnected topological space, and  $A$  will denote a complete topological  $\mathbf{Z}_p$ -algebra.

**Definition 3.2.1.** Let  $\mathcal{C}(X; A)$  (resp.  $\mathcal{C}^\infty(X; A)$ ) be the space of continuous (resp. locally constant)  $A$ -valued functions on  $X$ , equipped with the compact-open topology. The space of  $A$ -valued measures is defined to be

$$\mathcal{D}(X; A) = \text{Hom}_A(\mathcal{C}^\infty(X; A), A).$$

These are abbreviated as  $\mathcal{C}(A)$ ,  $\mathcal{C}^\infty(A)$  and  $\mathcal{D}(A)$  whenever there is no ambiguity about the base space  $X$ .

By the density of  $\mathcal{C}^\infty(A) \subset \mathcal{C}(A)$ , it is easy to see that every  $\mu \in \mathcal{D}(A)$  has a unique extension to a continuous  $A$ -linear homomorphism  $\mathcal{C}(A) \rightarrow A$ . Thus  $\mathcal{D}(A)$  is isomorphic to the continuous  $A$ -linear dual of  $\mathcal{C}(A)$ .

*Remark.* If  $A$  is a Banach  $\mathbf{Q}_p$ -algebra, the compact-open topology on  $\mathcal{C}(A)$  coincides with the metric topology induced by the sup-norm.

It is important to address the behavior of our construction under base change  $\phi: A \rightarrow A'$ , which is not available in the literature to the best of our knowledge. Since  $X$  is compact, the natural map

$$\mathcal{C}^\infty(A) \otimes_A A' \xrightarrow{\sim} \mathcal{C}^\infty(A')$$

is an isomorphism. However, the map  $\mathcal{C}(A) \otimes_A A' \rightarrow \mathcal{C}(A')$  is in general not an isomorphism.

For the space of measures, the map  $\mathcal{D}(A) \rightarrow \mathcal{D}(A')$  can be defined as follows. For any  $A$ -linear map  $\mu : \mathcal{C}^\infty(A) \rightarrow A$ , extension of scalars to  $A'$  gives

$$\mu \otimes 1_{A'} : \mathcal{C}^\infty(A) \otimes_A A' \rightarrow A \otimes_A A',$$

which is naturally an  $A'$ -linear map  $\mathcal{C}^\infty(A') \rightarrow A'$ . For the construction of specialization maps, we have to be more precise about the natural identifications involved, so we make:

**Definition 3.2.2.** For an algebra homomorphism  $\phi : A \rightarrow A'$ , the base change map  $\phi : \mathcal{D}(A) \rightarrow \mathcal{D}(A')$  is defined by sending  $\mu \in \mathcal{D}(A)$  to the composite map

$$\mathcal{C}^\infty(A') \xrightarrow{\sim} \mathcal{C}^\infty(A) \otimes_A A' \xrightarrow{\mu \otimes 1_{A'}} A \otimes_A A' \xrightarrow[\sim]{\phi \otimes 1_{A'}} A',$$

where  $i$  is the inverse of the natural isomorphism  $\mathcal{C}^\infty(A) \otimes_A A' \rightarrow \mathcal{C}^\infty(A')$ .

Unfortunately,  $\mathcal{D}(A)$  does not behave well under base change. More precisely, the natural map  $\mathcal{D}(A) \otimes_A A' \rightarrow \mathcal{D}(A')$  is not surjective in general, but the approach above suffices for our purpose. Alternatively, one may circumvent these issues by systematically introducing completed tensor products, which we will not do.

We end this general discussion by stating that the base change map on measures behaves well with respect to evaluation at functions, which is straightforward from the definitions.

**Proposition 3.2.3.** *Let  $\phi : A \rightarrow A'$  be an algebra homomorphism, inducing  $\phi : \mathcal{D}(A) \rightarrow \mathcal{D}(A')$ . Then for every  $f \in \mathcal{C}(A)$ , the diagram*

$$\begin{array}{ccc} \mathcal{D}(A) & \longrightarrow & \mathcal{D}(A') \\ \text{evaluation at } f \downarrow & & \downarrow \text{evaluation at } \phi \circ f \\ A & \xrightarrow{\phi} & A' \end{array}$$



commutes.

One might think of this as saying “integration is natural”.

*Remark.* Measures, as considered in the topological category, are sufficient for studying ordinary families. To extend our construction to finite-slope families on the eigencurve, we have to replace  $\mathcal{D}(A)$  by the larger space of *locally analytic* distributions.

### 3.3 Spaces of measures and polynomials

We will define certain spaces of measures and polynomials which are equipped with actions of  $\Sigma_0(p) \times \Sigma_0(p)$ , where  $\Sigma_0(p)$  is the monoid

$$\Sigma_0(p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbf{Z}_p) \cap \mathrm{GL}_2(\mathbf{Q}_p) : c \in p\mathbf{Z}_p, d \in \mathbf{Z}_p^\times \right\}.$$

These spaces will also be equipped with a restricted action of  $\Sigma_0(p)$ , via the diagonal embedding  $\Sigma_0(p) \subset \Sigma_0(p) \times \Sigma_0(p)$ .

#### 3.3.1 Polynomials

Now we consider a  $p$ -adic version of the space of homogeneous polynomials.

For every  $k \in \mathbf{Z}_{\geq 0}$ , let

$$V_{k,k}(A) = \mathrm{Sym}^k A^2 \otimes \mathrm{Sym}^k A^2$$

be the space of polynomials in  $(X_1, Y_1, X_2, Y_2)$  with coefficients in  $A$  homogeneous of degree  $k$  for each pair  $(X_i, Y_i)$ , which is equipped with a left action of  $\Sigma_0(p) \times \Sigma_0(p)$  by

$$(\gamma_1, \gamma_2) \cdot P(X, Y) = \bigotimes_{i=1}^2 P(d_i X_i - b_i Y_i, -c_i X_i + a_i Y_i).$$

This clearly agrees with the space  $V_{k,k}(A)$  defined in Section 2.2, with the restricted action of  $\Sigma_0(p)^2$  as a subgroup of  $\mathrm{GL}_2(A)^2$ .

### 3.3.2 Measures

Recall  $\mathcal{O}_{K,p} = \mathcal{O}_K \otimes_{\mathbf{Z}} \mathbf{Z}_p \simeq \mathbf{Z}_p \times \mathbf{Z}_p$ . We set

$$\mathcal{C}(A) := \mathcal{C}(\mathcal{O}_{K,p}; A),$$

$$\mathcal{D}(A) := \mathcal{D}(\mathcal{O}_{K,p}; A).$$

For every weight  $\kappa \in \mathcal{W}(A)$ , i.e., a continuous character  $\mathbf{Z}_p^\times \rightarrow A^\times$ , there is a natural right action on  $\mathcal{C}(A)$  by  $\Sigma_0(p) \times \Sigma_0(p)$  via the formula

$$(f|_{\kappa}(\gamma_1, \gamma_2))(z_1, z_2) = \kappa(c_1 z_1 + d_1) \kappa(c_2 z_2 + d_2) f\left(\frac{a_1 z_1 + b_1}{c_1 z_1 + d_1}, \frac{a_2 z_2 + b_2}{c_2 z_2 + d_2}\right).$$

Denote by  $\mathcal{C}_{\kappa}(A)$  the space  $\mathcal{C}(A)$  equipped with this weight  $\kappa$  action, and  $\mathcal{D}_{\kappa}(A)$  the corresponding left action on  $\mathcal{D}(A)$  by duality:

$$(\gamma \cdot_{\kappa} \mu)(f) = \mu(f|_{\kappa} \gamma).$$

If  $\kappa(t) = t^k$  is an integral weight, we often write  $k$  in place of  $\kappa$  when there is no ambiguity, such as  $\mathcal{C}_k(A)$  and  $\mathcal{D}_k(A)$ .

*Remark.* It is straightforward to twist the action on the spaces  $\mathcal{C}_{\kappa}(A)$ ,  $\mathcal{D}_{\kappa}(A)$  and  $V_{k,k}(A)$  above by a Dirichlet character, but do not do so as we will only be concerned with base-change Bianchi forms with trivial Nebentype.

## 3.4 Specialization maps

The goal is to define specialization maps out of  $\mathcal{D}(\Lambda)$  to all the polynomial spaces.

*Remark.* In this subsection only, formulas are sometimes written in one variable for notational simplicity. For example, the actions on  $\mathcal{C}_\kappa(A)$  and  $V_{k,k}(A)$  may respectively be denoted

$$(f|_\kappa\gamma)(z) = \kappa(cz + d)f\left(\frac{az + b}{cz + d}\right)$$

and

$$(\gamma \cdot P)(X, Y) = P(dX - bY, -cX + aY)$$

for  $\gamma \in \Sigma_0(p)^2$ .

### 3.4.1 From measures to polynomials

For each  $k \in \mathbf{Z}_{\geq 0}$ , the weight  $k$  specialization map

$$\rho_k : \mathcal{D}_k(A) \rightarrow V_{k,k}(A)$$

is defined by

$$\begin{aligned} \mu &\mapsto \int (X_1 - z_1 Y_1)^k (X_2 - z_2 Y_2)^k d\mu(z_1, z_2) \\ &= \int \left( \sum_{j=0}^k (-1)^j \binom{k}{j} z_1^j X_1^{k-j} Y_1^j \right) \left( \sum_{\ell=0}^k (-1)^\ell \binom{k}{\ell} z_2^\ell X_2^{k-\ell} Y_2^\ell \right) d\mu(z_1, z_2). \end{aligned}$$

**Proposition 3.4.1.** *The map  $\rho_k : \mathcal{D}_k(A) \rightarrow V_{k,k}(A)$  is  $\Sigma_0(p)^2$ -equivariant.*

*Proof.* This is straightforward, but we provide the full calculation (with one-variable notation) since different conventions are used in the literature.

By definition,

$$\begin{aligned}
\rho_k(\gamma \cdot_k \mu) &= \sum_{j=0}^k (-1)^j \binom{k}{j} (\gamma \cdot_k \mu)(z^j) X^{k-j} Y^j \\
&= \sum_{j=0}^k (-1)^j \binom{k}{j} \mu \left( (cz + d)^k \left( \frac{az + b}{cz + d} \right)^j \right) X^{k-j} Y^j \\
&= \sum_{j=0}^k (-1)^j \binom{k}{j} \mu \left( (az + b)^j (cz + d)^{k-j} \right) X^{k-j} Y^j.
\end{aligned}$$

Expanding  $(az + b)^j (cz + d)^{k-j}$ , we see that the coefficient of  $\mu(z^\ell)$  in the entire expression equals (where  $\binom{q}{r} = 0$  whenever  $q < r$  or  $r < 0$ )

$$\begin{aligned}
& \sum_{j=0}^k (-1)^j \binom{k}{j} \left( \sum_{i=0}^{\ell} \binom{j}{i} a^i b^{j-i} \cdot \binom{k-j}{\ell-i} c^{\ell-i} d^{k-j-\ell+i} \right) X^{k-j} Y^j \\
&= \sum_{j=0}^k \sum_{i=0}^{\ell} (-1)^j \binom{k}{\ell} \binom{\ell}{i} \binom{k-\ell}{j-i} a^i c^{\ell-i} \cdot b^{j-i} d^{k-j-\ell+i} X^{k-j} Y^j \\
&= \binom{k}{\ell} \sum_{i=0}^{\ell} \sum_{j=0}^k \binom{\ell}{i} (-aY)^i (cX)^{\ell-i} \cdot \binom{k-\ell}{j-i} (-bY)^{j-i} (dX)^{k-j-\ell+i} \\
&= \binom{k}{\ell} \sum_{i=0}^{\ell} \binom{\ell}{i} (-aY)^i (cX)^{\ell-i} \sum_{j'=i}^{k-i} \binom{k-\ell}{j'} (-bY)^{j'} (dX)^{k-\ell-j'} \quad [\text{setting } j' = j - i] \\
&= \binom{k}{\ell} \sum_{i=0}^{\ell} \binom{\ell}{i} (-aY)^i (cX)^{\ell-i} \cdot \sum_{j'=0}^{k-\ell} \binom{k-\ell}{j'} (-bY)^{j'} (dX)^{k-\ell-j'} \\
&= \binom{k}{\ell} (cX - aY)^\ell (dX - bY)^{k-\ell} \\
&= (-1)^\ell \binom{k}{\ell} (dX - bY)^{k-\ell} (-cX + aY)^\ell.
\end{aligned}$$

Therefore we obtain

$$\begin{aligned}
\rho_k(\gamma \cdot_k \mu) &= \sum_{\ell=0}^k (-1)^\ell \binom{k}{\ell} \mu(z^\ell) (dX - bY)^{k-\ell} (-cX + aY)^\ell \\
&= \gamma \cdot \left( \sum_{\ell=0}^k (-1)^\ell \binom{k}{\ell} \mu(z^\ell) X^{k-\ell} Y^\ell \right) \\
&= \gamma \cdot \rho_k(\mu)
\end{aligned}$$

as desired. □

**Corollary 3.4.2.** *The induced maps on cohomology*

$$\rho_k : H_c^2(Y_K, \mathcal{D}_k(A)) \rightarrow H_c^2(Y_K, V_{k,k}(A))$$

and

$$\rho_k : H_c^2(Y_{\mathbf{Q}}, \mathcal{D}_k(A)) \rightarrow H_c^2(Y_{\mathbf{Q}}, V_{k,k}(A))$$

are equivariant under the Hecke action.

### 3.4.2 From families to specific weights

Recall the Iwasawa algebra  $\Lambda = \mathcal{O}[[\mathbf{Z}_p^\times]]$  and the space of families of measures  $\mathcal{D}(\Lambda)$ . Since  $\mathcal{C}(\Lambda)$  and  $\mathcal{D}(\Lambda)$  are equipped with a canonical action induced by  $\theta : \mathbf{Z}_p^\times \rightarrow \Lambda$ , it is unnecessary to use the more complicated notation  $\mathcal{C}_\theta(\Lambda)$  and  $\mathcal{D}_\theta(\Lambda)$ .

Given any weight  $\kappa : \mathbf{Z}_p^\times \rightarrow \mathcal{O}^\times$ , the universal property of Iwasawa algebra gives a unique  $\mathcal{O}$ -algebra homomorphism  $\text{sp}_\kappa : \Lambda \rightarrow \mathcal{O}$  which fits into the commutative diagram

$$\begin{array}{ccc}
\mathbf{Z}_p^\times & \xrightarrow{\theta} & \Lambda \\
& \searrow \kappa & \downarrow \text{sp}_\kappa \\
& & \mathcal{O}.
\end{array}$$

This is the weight  $\kappa$  specialization map on  $\Lambda$ , which induces specialization maps  $\mathcal{C}(\Lambda) \rightarrow$

$\mathcal{C}(\mathcal{O})$  and  $\mathcal{D}(\Lambda) \rightarrow \mathcal{D}(\mathcal{O})$ . To check that this is compatible with the  $\Sigma_0(p)^2$ -action, we begin with:

**Lemma 3.4.3.** *The map  $\text{sp}_\kappa : \mathcal{C}(\Lambda) \rightarrow \mathcal{C}_\kappa(\mathcal{O})$  is  $\Sigma_0(p)^2$ -equivariant.*

*Proof.* For  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Sigma_0(p)^2$  and  $f \in \mathcal{C}(\Lambda)$ , we have

$$\begin{aligned} \text{sp}_\kappa(f|_\theta\gamma)(z) &= \text{sp}_\kappa \circ (f|_\theta\gamma)(z) \\ &= \text{sp}_\kappa \left( \theta(cz + d) f \left( \frac{az + b}{cz + d} \right) \right) \\ &= \kappa(cz + d) (\text{sp}_\kappa \circ f) \left( \frac{az + b}{cz + d} \right) \\ &= (\text{sp}_\kappa(f)|_{\kappa\gamma})(z). \end{aligned} \quad \square$$

As a consequence, the natural isomorphism  $\text{sp}_\kappa \otimes 1_{\mathcal{O}} : \mathcal{C}^\infty(\Lambda) \otimes_{\Lambda, \text{sp}_\kappa} \mathcal{O} \xrightarrow{\sim} \mathcal{C}^\infty(\mathcal{O})$ , as well as its inverse  $i_\kappa = (\text{sp}_\kappa \otimes 1_{\mathcal{O}})^{-1}$ , is also  $\Sigma_0(p)^2$ -equivariant.

Recall from Definition 3.2.2 that the map  $\mathcal{D}(\Lambda) \rightarrow \mathcal{D}(\mathcal{O})$  sends every measure  $\mu \in \mathcal{D}(\Lambda) = \text{Hom}_\Lambda(\mathcal{C}^\infty(\Lambda), \Lambda)$  to the composition

$$\mathcal{C}^\infty(\mathcal{O}) \xrightarrow[\sim]{i_\kappa} \mathcal{C}^\infty(\Lambda) \otimes_{\Lambda, \text{sp}_\kappa} \mathcal{O} \xrightarrow{\mu \otimes 1_{\mathcal{O}}} \Lambda \otimes_{\Lambda, \text{sp}_\kappa} \mathcal{O} \cong \mathcal{O}.$$

**Proposition 3.4.4.** *The map  $\text{sp}_\kappa : \mathcal{D}(\Lambda) \rightarrow \mathcal{D}_\kappa(\mathcal{O})$  is  $\Sigma_0(p)^2$ -equivariant.*

*Proof.* Let us check that  $\text{sp}_\kappa(\gamma \cdot_\theta \mu) = \gamma \cdot_\kappa \text{sp}_\kappa(\mu)$  for every  $\gamma \in \Sigma_0(p)^2$  and  $\mu \in \text{Hom}_\Lambda(\mathcal{C}^\infty(\Lambda), \Lambda)$ .

For  $f \in \mathcal{C}^\infty(\mathcal{O})$ , we have

$$\begin{aligned} \mathrm{sp}_\kappa(\gamma \cdot_\theta \mu)(f) &= ((\gamma \cdot_\theta \mu) \otimes 1_{\mathcal{O}}) \circ i_\kappa(f) \\ &= (\mu \otimes 1_{\mathcal{O}})(i_\kappa(f)|_{\theta\gamma}) \end{aligned} \tag{3.4.1}$$

$$= (\mu \otimes 1_{\mathcal{O}})(i_\kappa(f)|_{\kappa\gamma}) \tag{3.4.2}$$

$$\begin{aligned} &= \mathrm{sp}_\kappa(\mu)(f|_{\kappa\gamma}) \\ &= (\gamma \cdot_\kappa \mathrm{sp}_\kappa(\mu))(f), \end{aligned} \tag{3.4.3}$$

where (3.4.1) and (3.4.3) follow from the duality between (left) action on measures and (right) action on functions, and (3.4.2) follows from the equivariance of  $i_\kappa$ .  $\square$

**Definition 3.4.5.** The specialization at weight  $k$  on  $\mathcal{D}(\Lambda)$ , denoted  $\rho_k$ , is the composition

$$\mathcal{D}(\Lambda) \xrightarrow{\mathrm{sp}_k} \mathcal{D}_k(\mathcal{O}) \xrightarrow{\rho_k} V_{k,k}(\mathcal{O}),$$

which is  $\Sigma_0(p)^2$ -equivariant.

**Corollary 3.4.6.** *The specialization map  $\rho_k : \mathcal{D}(\Lambda) \rightarrow V_{k,k}(\mathcal{O})$  induces maps on cohomology*

$$\rho_k : H_c^2(Y_K, \mathcal{D}(\Lambda)) \rightarrow H_c^2(Y_K, V_{k,k}(\mathcal{O}))$$

and

$$\rho_k : H_c^2(Y_{\mathbf{Q}}, \mathcal{D}(\Lambda)) \rightarrow H_c^2(Y_{\mathbf{Q}}, V_{k,k}(\mathcal{O})),$$

which are equivariant under the Hecke action.

## 3.5 Evaluation map on families

In this subsection, we construct the  $\Lambda$ -adic maps in the top row of (3.1.1).

*Remark.* Each (vertical) specializations map in (3.1.1) constructed in the previous

subsection is equivariant for the action of  $\Sigma_0(p)^2$  or  $\Sigma_0(p)$ , so the induced map on cohomology is equivariant under Hecke operators. However, the (horizontal) evaluation maps that we are going to construct will only be equivariant under certain restricted actions:

- restriction to  $\mathbf{Q}$  is equivariant for  $\Sigma_0(p)$ , embedding diagonally in  $\Sigma_0(p)^2$ ;
- projection to the 1-dimensional subrepresentation is equivariant for an Iwahori subgroup.

These are sufficient for defining the induced maps on cohomology groups.

The two maps  $|_{\mathbf{Q}}$  and  $\int_{Y_{\mathbf{Q}}}$  can be interpolated in a straightforward manner, by generalities about cohomology.

### 3.5.1 Restriction to $\mathbf{Q}$

**Lemma 3.5.1.** *The diagram*

$$\begin{array}{ccc} H_c^2(Y_K, \mathcal{D}(\Lambda)) & \xrightarrow{|_{\mathbf{Q}}} & H_c^2(Y_{\mathbf{Q}}, \mathcal{D}(\Lambda)) \\ \rho_k \downarrow & & \downarrow \rho_k \\ H_c^2(Y_K, V_{k,k}(\mathcal{O})) & \xrightarrow{|_{\mathbf{Q}}} & H_c^2(Y_{\mathbf{Q}}, V_{k,k}(\mathcal{O})) \end{array}$$

*commutes.*

*Proof.* This follows from the  $\Sigma_0(p)^2$ -equivariance of  $\rho_k$  and functoriality for the diagonal embedding  $\Sigma_0(p) \subset \Sigma_0(p)^2$ . □



### 3.5.2 Integration on modular curve

Over  $\mathbf{C}$ , integration over the modular curve  $Y_{\mathbf{Q}}$  gives an isomorphism  $H_c^2(Y_{\mathbf{Q}}, \mathbf{C}) \xrightarrow{\sim} \mathbf{C}$ .

For a trivial coefficient ring  $A$  in general, there is still a canonical isomorphism

$$H_c^2(Y_{\mathbf{Q}}, A) \xrightarrow{\sim} A$$

$$\phi \mapsto \phi \cap [Y_{\mathbf{Q}}]$$

given by cap product  $\cap : H_c^2(Y_{\mathbf{Q}}, A) \otimes_{\mathbf{Z}} H_2^{\text{BM}}(Y_{\mathbf{Q}}, \mathbf{Z}) \rightarrow H_0(Y_{\mathbf{Q}}, A) \cong A$ ; here  $[Y_{\mathbf{Q}}] \in H_2^{\text{BM}}(Y_{\mathbf{Q}}, \mathbf{Z})$  is a fundamental class of Borel–Moore homology, whose choice will be fixed throughout the thesis.

**Lemma 3.5.2.** *The diagram*

$$\begin{array}{ccc} H_c^2(Y_{\mathbf{Q}}, \Lambda) & \xrightarrow{\sim} & \Lambda \\ \text{sp}_k \downarrow & & \downarrow \text{sp}_k \\ H_c^2(Y_{\mathbf{Q}}, \mathcal{O}) & \xrightarrow{\sim} & \mathcal{O} \end{array}$$

*commutes.*

*Proof.* The canonical isomorphism is natural in  $A$ . □

### 3.5.3 Projection onto trivial coefficients

The goal here is to motivate how one might define a map  $\Pi : \mathcal{D}(\Lambda) \rightarrow \Lambda$  which interpolates the projection maps  $(k!)^{-2} \nabla^k : V_{k,k}(\mathcal{O}) \rightarrow \mathcal{O}$  for all  $n$ .

Consider a hypothetical diagram

$$\begin{array}{ccc}
\mathcal{D}(\Lambda) & \xrightarrow{\quad \Pi \quad} & \Lambda \\
\text{sp}_k \downarrow & & \downarrow \text{sp}_k \\
\mathcal{D}_k(\mathcal{O}) & & \mathcal{O} \\
\rho_k \downarrow & \searrow & \\
V_{k,k}(\mathcal{O}) & \xrightarrow{(k!)^{-2}\nabla^k} & \mathcal{O}
\end{array}$$

We check by a direct computation that the diagonal map has a particularly nice description.

**Lemma 3.5.3.** *The composition*

$$\mathcal{D}(A) \xrightarrow{\rho_k} V_{k,k}(A) \xrightarrow{(k!)^{-2}\nabla^k} A$$

is given by evaluation at  $(z_1 - z_2)^k$ , i.e.,  $\mu \mapsto \mu[(z_1 - z_2)^k]$ .

*Proof.* Recall that  $\rho_k$  is evaluation at the polynomial

$$\begin{aligned}
(X_1 - z_1 Y_1)^k (X_2 - z_2 Y_2)^k &= \left( \sum_{j=0}^k (-1)^j \binom{k}{j} X_1^{k-j} Y_1^j z_1^j \right) \left( \sum_{\ell=0}^k (-1)^\ell \binom{k}{\ell} X_2^{k-\ell} Y_2^\ell z_2^\ell \right) \\
&= \sum_{j=0}^k \sum_{\ell=0}^k (-1)^{j+\ell} \binom{k}{j} \binom{k}{\ell} X_1^{k-j} Y_1^j X_2^{k-\ell} Y_2^\ell z_1^j z_2^\ell.
\end{aligned}$$

Since the differential operator  $\nabla = \frac{\partial^2}{\partial X_2 \partial Y_1} - \frac{\partial^2}{\partial X_1 \partial Y_2}$  satisfies

$$(k!)^{-2} \nabla^k X_1^{k-a} Y_1^a X_2^{k-b} Y_2^b = \begin{cases} (-1)^a \binom{k}{a}^{-1} & \text{if } k = a + b, \\ 0 & \text{otherwise,} \end{cases}$$

we see that

$$\begin{aligned}
(k!)^{-2} \nabla^k [(X_1 - z_1 Y_1)^k (X_2 - z_2 Y_2)^k] &= \sum_{j=0}^k (-1)^k \binom{k}{j} \binom{k}{k-j} \cdot (-1)^j \binom{k}{j}^{-1} z_1^j z_2^{k-j} \\
&= \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} z_1^j z_2^{k-j} \\
&= (z_1 - z_2)^k
\end{aligned}$$

as desired. □

*Remark.*

- More conceptually, it is easy to check that  $(z_1 - z_2)^k$  is an invariant function under the Iwahori subgroup

$$\text{Iw}^1(p) := \Sigma_0(p) \cap \text{SL}_2(\mathbf{Z}_p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbf{Z}_p) : p \mid c \right\},$$

so evaluating a measure on  $(z_1 - z_2)^k$  yields trivial coefficients; this point of view will be exploited in the next section.

- For equivariance under

$$\text{Iw}(p) := \Sigma_0(p) \cap \text{GL}_2(\mathbf{Z}_p) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbf{Z}_p) : p \mid c \right\},$$

one has to twist the projection map by  $\det^k$ .

In view of Proposition 3.2.3, we want to seek a function  $\mathcal{O}_{K,p} \rightarrow \Lambda$  which specializes to  $(z_1 - z_2)^k$  for all  $k$ . Unfortunately this is not possible; the function  $z \mapsto z^k$  on  $\mathbf{Z}_p$  cannot be  $p$ -adically interpolated in  $k$ , but on  $\mathbf{Z}_p^\times$  it is interpolated by the tautological character  $\theta : \mathbf{Z}_p^\times \rightarrow \Lambda^\times$ . This motivates the following definition, which is the best

possible interpolation of  $(z_1 - z_2)^k$  on  $\mathcal{O}_{K,p}$ .

**Definition 3.5.4.** The map  $\Pi : \mathcal{D}(\Lambda) \rightarrow \Lambda$  is defined as the following evaluation:

$$\Pi(\mu) = \int_{\mathcal{O}_{K,p}} \theta(z_1 - z_2) d\mu,$$

where  $\theta : \mathbf{Z}_p^\times \rightarrow \Lambda^\times$  is the tautological character, extended to  $\mathbf{Z}_p$  by zero.

*Remark.* Similarly to the weight  $k$  setting,  $\Pi$  is equivariant for the action of  $\text{Iw}^1(p)$  but not  $\text{Iw}(p)$ .

Note that  $\theta(z_1 - z_2)$  is supported on the open subspace

$$\mathcal{O}'_{K,p} := \{(z_1, z_2) \in \mathcal{O}_{K,p} : z_1 - z_2 \in \mathbf{Z}_p^\times\},$$

so  $\Pi : \mathcal{D}(\Lambda) \rightarrow \Lambda$  factors through those measures in  $\mathcal{D}(\Lambda)$  which are supported on  $\mathcal{O}'_{K,p}$ . As a consequence, the diagram

$$\begin{array}{ccc} \mathcal{D}(\Lambda) & \xrightarrow{\Pi} & \Lambda \\ \text{sp}_k \downarrow & & \downarrow \text{sp}_k \\ \mathcal{D}_k(\mathcal{O}) & \longrightarrow & \mathcal{O} \end{array}$$

*fails* to commute: for  $\mu \in \mathcal{D}(\Lambda)$ , going right then down gives

$$\int_{\mathcal{O}'_{K,p}} (z_1 - z_2)^k d\mu,$$

whereas going down then right gives

$$\int_{\mathcal{O}_{K,p}} (z_1 - z_2)^k d\mu.$$

On the level of cohomology, this means the  $\Lambda$ -adic map  $H_c^2(Y_{\mathbf{Q}}, \mathcal{D}(\Lambda)) \rightarrow H_c^2(Y_{\mathbf{Q}}, \Lambda)$  does not specialize exactly to  $H_c^2(Y_{\mathbf{Q}}, V_{k,k}(\mathcal{O})) \rightarrow \mathcal{O}$ . It turns out that for a  $U_p$ -

eigenclass, their discrepancy can be measured by a suitable Euler factor; this is the subject of the next section.

*Remark.* Integrating  $(z_1 - z_2)^k$  on  $\mathcal{O}'_{K,p}$  is the same as integrating  $1_{\mathcal{O}'_{K,p}}(z_1 - z_2)^k$  on all of  $\mathcal{O}_{K,p}$ , where  $1_S$  denotes the characteristic function of  $S$ . Similarly as before,  $1_{\mathcal{O}'_{K,p}}(z_1 - z_2)^k$  is invariant under the Iwahori subgroup  $\text{Iw}^1(p)$ .

# Chapter 4

## Interpolation formula on Hecke eigenclass

To recap, we have constructed all the maps in the diagram

$$\begin{array}{ccccccc}
 H_c^2(Y_K, \mathcal{D}(\Lambda)) & \xrightarrow{\text{res}} & H_c^2(Y_{\mathbf{Q}}, \mathcal{D}(\Lambda)) & \longrightarrow & H_c^2(Y_{\mathbf{Q}}, \Lambda) & \xrightarrow{\sim} & \Lambda \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 H_c^2(Y_K, \mathcal{D}_k(\mathcal{O})) & \xrightarrow{\text{res}} & H_c^2(Y_{\mathbf{Q}}, \mathcal{D}_k(\mathcal{O})) & \xrightarrow{(*)} & H_c^2(Y_{\mathbf{Q}}, \mathcal{O}) & \xrightarrow{\sim} & \mathcal{O} \\
 \downarrow & & \downarrow & \searrow & \downarrow & & \downarrow \\
 H_c^2(Y_K, V_k(\mathcal{O})) & \xrightarrow{\text{res}} & H_c^2(Y_{\mathbf{Q}}, V_k(\mathcal{O})) & \longrightarrow & H_c^2(Y_{\mathbf{Q}}, \mathcal{O}) & \xrightarrow{\sim} & \mathcal{O}
 \end{array}$$

but the trapezoid  $(*)$  does *not* commute; it is induced by

$$\begin{array}{ccc}
 \mathcal{D}(\Lambda) & \xrightarrow{\mu \mapsto \int_{\mathcal{O}_{K,p}} \theta(z_1 - z_2) d\mu} & \Lambda \\
 \downarrow & & \downarrow \\
 \mathcal{D}_k(\mathcal{O}) & \xrightarrow{\mu \mapsto \int_{\mathcal{O}_{K,p}} (z_1 - z_2)^k d\mu} & \mathcal{O}
 \end{array}$$

Our next goal is to measure this discrepancy. The calculation turns out to be cleanest if we work at the level of  $\mathcal{D}_k(\mathcal{O})$ , so we extract the middle row of the diagram above.

To simplify notation, we denote

$$X := \mathcal{O}_{K,p} \simeq \mathbf{Z}_p \times \mathbf{Z}_p,$$

$$X' := \mathcal{O}'_{k,p} = \{(z_1, z_2) \in X : z_1 - z_2 \in \mathbf{Z}_p^\times\}.$$

**Definition 4.0.1.** Define the evaluation map  $\text{Ev}_X$  (resp.  $\text{Ev}_{X'}$ ) to be the composition

$$H_c^2(Y_K, \mathcal{D}_k(\mathcal{O})) \xrightarrow{\text{res}} H_c^2(Y_{\mathbf{Q}}, \mathcal{D}_k(\mathcal{O})) \longrightarrow H_c^2(Y_{\mathbf{Q}}, \mathcal{O}) \xrightarrow{\sim} \mathcal{O},$$

where the middle map is induced by the evaluation map sending  $\mu \in \mathcal{D}_k(\mathcal{O})$  to

$$\int_X (z_1 - z_2)^k d\mu \quad \left( \text{resp. } \int_{X'} (z_1 - z_2)^k d\mu \right).$$

Recall that  $\text{Ev}_X$  encodes an actual  $L$ -value, but the  $p$ -adic  $L$ -function will only specialize to  $\text{Ev}_{X'}$ . We shall see that on a  $U_p$ -eigenspace of  $H_c^2(Y_K, \mathcal{D}_k(\mathcal{O}))$ , they differ by an Euler factor at  $p$ ; the situation is similar to the difference between the standard and improved  $p$ -adic  $L$ -functions in [GS93].

## 4.1 Cohomological interpretation

Our first step is to interpret each map in terms of cohomological operations:

$$\text{Ev}_X : H_c^2(Y_K, \mathcal{D}_k(\mathcal{O})) \xrightarrow[\iota^*]{\text{res}} H_c^2(Y_{\mathbf{Q}}, \mathcal{D}_k(\mathcal{O})) \xrightarrow[\cup[(z_1-z_2)^k]]{f_X} H_c^2(Y_{\mathbf{Q}}, \mathcal{O}) \xrightarrow[\cap[Y_{\mathbf{Q}}]]{\sim} \mathcal{O}.$$

1. The first map is restriction along the inclusion  $\iota : Y_{\mathbf{Q}} \hookrightarrow Y_K$ , and can be thought of as the pullback  $\iota^*$ .

2. The second map is induced by the evaluation of measures:

$$\begin{aligned} \mathcal{D}_k(\mathcal{O}) &\rightarrow \mathcal{O} \\ \mu &\mapsto \mu[(z_1 - z_2)^k] = \int_X (z_1 - z_2)^k d\mu. \end{aligned}$$

Viewing the function  $(z_1 - z_2)^k$  as a class  $[(z_1 - z_2)^k] \in H^0(Y_{\mathbf{Q}}, \mathcal{C}_k(\mathcal{O}))$ , we can interpret the map as given by the cup product pairing

$$\cup : H_c^2(Y_{\mathbf{Q}}, \mathcal{D}_k(\mathcal{O})) \otimes_{\mathcal{O}} H^0(Y_{\mathbf{Q}}, \mathcal{C}_k(\mathcal{O})) \rightarrow H_c^2(Y_{\mathbf{Q}}, \mathcal{D}_k(\mathcal{O})) \otimes_{\mathcal{O}} \mathcal{C}_k(\mathcal{O}) \rightarrow H_c^2(Y_{\mathbf{Q}}, \mathcal{O})$$

with  $[(z_1 - z_2)^k] \in H^0(Y_{\mathbf{Q}}, \mathcal{C}_k(\mathcal{O}))$ .

3. The last map is given by cap product

$$\cap : H_c^2(Y_{\mathbf{Q}}, \mathcal{O}) \otimes_{\mathbf{Z}} H_2^{\text{BM}}(Y_{\mathbf{Q}}, \mathbf{Z}) \rightarrow H_0(Y_{\mathbf{Q}}, \mathcal{O}) \cong \mathcal{O}$$

with the fundamental class  $[Y_{\mathbf{Q}}] \in H_2^{\text{BM}}(Y_{\mathbf{Q}}, \mathbf{Z})$ .

A similar description is available for  $\text{Ev}_{X'}$ , by replacing  $\int_X$  with  $\int_{X'}$  in the evaluation map  $\mathcal{D}_k(\mathcal{O}) \rightarrow \mathcal{O}$ . Then the middle map  $H_c^2(Y_{\mathbf{Q}}, \mathcal{D}_k(\mathcal{O})) \rightarrow H_c^2(Y_{\mathbf{Q}}, \mathcal{O})$  can be thought of as cup product with the class

$$[1_{X'}(z_1 - z_2)^k] \in H^0(Y_{\mathbf{Q}}, \mathcal{C}_k(\mathcal{O})),$$

where  $1_{X'} = 1_{X'}(z_1, z_2)$  is the characteristic function of  $X' = \{(z_1, z_2) \in X : z_1 - z_2 \in \mathbf{Z}_p^\times\}$ .

Under this formulation, the goal is to find, for a  $U_p$ -eigenclass  $\phi \in H_c^2(Y_K, \mathcal{D}_k(\mathcal{O}))$ , the difference between

$$\text{Ev}_X(\phi) = (\iota^*(\phi) \cup [(z_1 - z_2)^k]) \cap [Y_{\mathbf{Q}}]$$



and

$$\text{Ev}_{X'}(\phi) = (\iota^*(\phi) \cup [1_{X'}(z_1 - z_2)^k]) \cap [Y_{\mathbf{Q}}].$$

## 4.2 Calculation with singular cohomology

We will calculate this discrepancy by singular cohomology. For a topological space  $S$ , let  $C_{\bullet}(S)$  be the singular chain complex, i.e.,  $C_i(S)$  is the free abelian group generated by singular  $i$ -simplices in  $Y$ , with the usual boundary maps.

Suppose  $Y$  is an Eilenberg–MacLane space for  $\Gamma$  with universal cover  $H$ . The natural action of  $\Gamma$  on  $H$  extends to an action on  $C_{\bullet}(H)$ , so that  $C_{\bullet}(H)$  is equipped with the structure of a  $\Gamma$ -module. For any local system  $M$  on  $Y$ , the cohomology  $H^{\bullet}(Y, M)$  can be computed by the cochain complex

$$\text{Hom}_{\Gamma}(C_{\bullet}(H), M),$$

and  $H_c^{\bullet}(Y, M)$  can be computed by the compactly supported cochains, i.e., the cochains whose supports in  $H$  are compact modulo  $\Gamma$ .

In our setting,  $Y_K$  (resp.  $Y_{\mathbf{Q}}$ ) is an Eilenberg–MacLane space for  $\Gamma_K := \Gamma_0^K(N)$  (resp.  $\Gamma_{\mathbf{Q}} := \Gamma_0^{\mathbf{Q}}(N)$ ). Thus every cohomology class in  $H_c^2(Y_K, M)$  (resp.  $H_c^2(Y_{\mathbf{Q}}, M)$ ) can be represented by a singular 2-cochain in

$$\text{Hom}_{\Gamma_K}(C_2(\mathcal{H}_3), M) \quad (\text{resp. } \text{Hom}_{\Gamma_{\mathbf{Q}}}(C_2(\mathcal{H}_2), M))$$

which is furthermore compactly supported.

**Lemma 4.2.1.** *Suppose  $\phi \in H_c^2(Y_{\mathbf{Q}}, \mathcal{D}_k(\mathcal{O}))$  is represented by a compactly-supported singular 2-cochain  $\tilde{\phi} \in \text{Hom}_{\Gamma_K}(C_2(\mathcal{H}_3), \mathcal{D}_k(\mathcal{O}))$ . Then*

$$\text{Ev}_X(\phi) = \tilde{\phi}(\iota([Y_{\mathbf{Q}}]))[(z_1 - z_2)^k] \in \mathcal{O}$$

and

$$\text{Ev}_{X'}(\phi) = \tilde{\phi}(\iota([Y_{\mathbf{Q}}]))[1_{X'}(z_1 - z_2)^k] \in \mathcal{O}.$$

*Proof.* Let  $\sigma \in C_2(\mathcal{H}_2)$  denote any singular 2-chain in  $\mathcal{H}_2$ . For emphasis, we always write  $\iota(\sigma) \in C_2(\mathcal{H}_3)$  whenever  $\sigma$  is thought of as lying inside  $\mathcal{H}_3$ .

At the level of singular cochains, the three operations above can be computed as follows.

1.  $\iota^*\phi \in H_c^2(Y_{\mathbf{Q}}, \mathcal{D}_k(\mathcal{O}))$  is represented by  $\tilde{\phi} \circ \iota \in \text{Hom}_{\Gamma_{\mathbf{Q}}}(C_2(\mathcal{H}_2), \mathcal{D}_k(\mathcal{O}))$ , i.e., the cochain

$$\sigma \mapsto \tilde{\phi}(\iota(\sigma)).$$

2. Cup product is induced by evaluating  $\mathcal{D}_k(\mathcal{O})$  on the function  $(z_1 - z_2)^k \in \mathcal{C}_k(\mathcal{O})$ , so  $(\iota^*\phi) \cup [(z_1 - z_2)^k]$  is represented by the 2-cochain

$$\sigma \mapsto \tilde{\phi}(\iota(\sigma))[(z_1 - z_2)^k].$$

3. Finally, cap product with  $[Y_{\mathbf{Q}}]$  corresponds to substituting  $[Y_{\mathbf{Q}}]$  for  $\sigma$  (this makes sense since the cochain  $\tilde{\phi}$  is compactly supported):

$$\tilde{\phi}(\iota([Y_{\mathbf{Q}}]))[(z_1 - z_2)^k] \in \mathcal{O}. \quad \square$$

Now we recall the action of the Hecke operator  $U_p$  on cohomology; here we do mean the *rational* prime  $p$ , which is assumed to split in  $K$ . Suppose  $M$  has a left action of  $\Sigma_0(p)^2$ , which restricts to an action of  $\Gamma_0^K(N) \hookrightarrow \Sigma_0(p)^2$  via the embedding  $\mathcal{O}_K \hookrightarrow \mathcal{O}_{k,p} = \mathbf{Z}_p \times \mathbf{Z}_p$ . Then  $H^q(Y_K, M)$  and  $H_c^q(Y_K, M)$  are equipped with a Hecke action.

The  $U_p$ -operator is defined by the double coset  $\Gamma_0^K(N)\delta\Gamma_0^K(N)$ , where

$$\delta := \left( \left( \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \right) \in \Sigma_0(p)^2.$$

Choose a set of double coset representatives

$$\gamma_{ij} := \left( \left( \begin{pmatrix} p & i \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} p & j \\ 0 & 1 \end{pmatrix} \right) \in \Sigma_0(p)^2$$

for  $i, j \in \{0, 1, \dots, p-1\}$ , so that

$$\Gamma_0^K(N)\delta\Gamma_0^K(N) = \prod_{i,j} \gamma_{ij}\Gamma_0^K(N) = \prod_{i,j} \Gamma_0^K(N)\gamma_{ij}.$$

Then we have the following description of the  $U_p$ -action, which is standard.

**Lemma 4.2.2.** *If  $\phi \in H_*^q(Y_K, M)$  is represented by a cochain  $\tilde{\phi}$ , then  $U_p\phi$  is represented by*

$$\sigma \mapsto \sum_{i,j} \gamma_{ij} \cdot \tilde{\phi}(\gamma_{ij}^{-1} \cdot \sigma).$$

Now we are ready to show the main result:

**Theorem 4.2.3.** *For  $\phi \in H_c^2(Y_K, \mathcal{D}_k(\mathcal{O}))$ ,*

$$\text{Ev}_X(U_p\phi) - \text{Ev}_{X'}(U_p\phi) = p^{k+1} \text{Ev}_X(\phi).$$

*Proof.* Suppose  $\phi$  is represented by  $\tilde{\phi} \in \text{Hom}_{\Gamma_K}(C_2(\mathcal{H}_3), M)$ . We keep track of the  $U_p$ -action under the three successive operations.

1.  $\iota^*(U_p\phi)$  is represented by

$$\sigma \mapsto \sum_{i,j} \gamma_{ij} \cdot \tilde{\phi}(\gamma_{ij}^{-1} \cdot \iota(\sigma)).$$

2.  $\iota^*(U_p\phi) \cup [(z_1 - z_2)^k]$  is represented by

$$\sigma \mapsto \sum_{i,j} \gamma_{ij} \cdot \tilde{\phi}(\gamma_{ij}^{-1} \cdot \iota(\sigma))[(z_1 - z_2)^k].$$

By definition of the  $\Sigma_0(p)^2$ -actions on  $\mathcal{D}_k(\mathcal{O})$  and  $\mathcal{C}_k(\mathcal{O})$ , this is equal to

$$\begin{aligned} & \sum_{i,j} \tilde{\phi}(\gamma_{ij}^{-1} \cdot \iota(\sigma))[(z_1 - z_2)^k \Big|_k \gamma_{ij}] \\ &= \sum_{i,j} \tilde{\phi}(\gamma_{ij}^{-1} \cdot \iota(\sigma))[((pz_1 + i) - (pz_2 + j))^k]. \end{aligned}$$

3. Finally, substituting  $\sigma = [Y_{\mathbf{Q}}]$  gives

$$\text{Ev}_X(U_p\phi) = \sum_{i,j} \tilde{\phi}(\gamma_{ij}^{-1} \cdot \iota([Y_{\mathbf{Q}}]))[((pz_1 + i) - (pz_2 + j))^k]. \quad (4.2.1)$$

The evaluation  $\text{Ev}_{X'}(U_p\phi)$  is obtained in the same way, except that the function  $(z_1 - z_2)^k$  is replaced by  $1_{X'}(z_1 - z_2)^k$ :

$$\begin{aligned} \text{Ev}_{X'}(U_p\phi) &= \sum_{i,j} \tilde{\phi}(\gamma_{ij}^{-1} \cdot \iota([Y_{\mathbf{Q}}]))[1_{X'}(z_1, z_2)(z_1 - z_2)^k \Big|_k \gamma_{ij}] \\ &= \sum_{i,j} \tilde{\phi}(\gamma_{ij}^{-1} \cdot \iota([Y_{\mathbf{Q}}]))[1_{X'}(pz_1 + i, pz_2 + j)((pz_1 + i) - (pz_2 + j))^k]. \end{aligned}$$

Since  $1_{X'}$  is the characteristic function of  $X' = \{(z_1, z_2) : z_1 - z_2 \in \mathbf{Z}_p^\times\}$ , only the terms with  $i \not\equiv j \pmod{p}$  remain, yielding

$$\text{Ev}_{X'}(U_p\phi) = \sum_{i \not\equiv j \pmod{p}} \tilde{\phi}(\gamma_{ij}^{-1} \cdot \iota([Y_{\mathbf{Q}}]))[((pz_1 + i) - (pz_2 + j))^k]. \quad (4.2.2)$$

Comparing (4.2.1) and (4.2.2), we get

$$\begin{aligned}
\mathrm{Ev}_X(U_p\phi) - \mathrm{Ev}_{X'}(U_p\phi) &= \sum_{i=0}^{p-1} \tilde{\phi}(\gamma_{ii}^{-1} \cdot \iota([Y_{\mathbf{Q}}])) [((pz_1 + i) - (pz_2 + i))^k] \\
&= \sum_{i=0}^{p-1} \tilde{\phi}(\gamma_{ii}^{-1} \cdot \iota([Y_{\mathbf{Q}}])) [p^k (z_1 - z_2)^k] \\
&= p^{k+1} \tilde{\phi}(\iota([Y_{\mathbf{Q}}])) [(z_1 - z_2)^k]
\end{aligned}$$

as  $\gamma_{ii}^{-1}$  comes from an element of  $\Gamma_0^{\mathbf{Q}}(N) \subset \mathrm{SL}_2(\mathbf{Q})$ , whose action fixes  $\mathcal{H}_2 \subset \mathcal{H}_3$  and hence the fundamental class  $[Y_{\mathbf{Q}}] \in H_2^{\mathrm{BM}}(Y_{\mathbf{Q}}, \mathbf{Z})$ . This concludes the proof.  $\square$

As a consequence, this establishes the following identity for a  $U_p$ -eigenclass:

**Corollary 4.2.4.** *If  $U_p\phi = \alpha\phi$ , then*

$$\mathrm{Ev}_{X'}(\phi) = (1 - \alpha^{-1}p^{k+1}) \mathrm{Ev}_X(\phi).$$

### 4.3 Summary

Composing all the evaluation maps defined in Section 3.5, we obtain a big evaluation map  $\mathbb{L}_{\Lambda} : H_c^2(Y_K, \mathcal{D}(\Lambda)) \rightarrow \Lambda$  fitting in the diagram

$$\begin{array}{ccc}
H_c^2(Y_K, \mathcal{D}(\Lambda)) & \xrightarrow{\mathbb{L}_{\Lambda}} & \Lambda \\
\rho_k \downarrow & & \downarrow \mathrm{sp}_k \\
H_c^2(Y_K, V_{k,k}(\mathcal{O})) & \xrightarrow{\mathbb{L}_k} & \mathcal{O}
\end{array}$$

which does *not* commute; the failure to commute is made precise by the following theorem.

**Theorem 4.3.1.** *If  $\Phi \in H_c^2(Y_K, \mathcal{D}(\Lambda))$  is a  $U_p$ -eigenclass with  $U_p\Phi = \alpha\Phi$  (where  $\alpha \in \Lambda$ ), then*

$$\mathrm{sp}_k(\mathbb{L}_{\Lambda}\Phi) = (1 - \mathrm{sp}_k(\alpha)^{-1}p^{k+1})\mathbb{L}_k(\rho_k\Phi).$$

*Proof.* The specialization  $\mathrm{sp}_k : H_c^2(Y_K, \mathcal{D}(\Lambda)) \rightarrow H_c^2(Y_K, \mathcal{D}_k(\mathcal{O}))$  is Hecke-equivariant, so  $\mathrm{sp}_k(\Phi) \in H_c^2(Y_K, \mathcal{D}_k(\mathcal{O}))$  is an eigenclass with  $U_p$ -eigenvalue  $\mathrm{sp}_k(\alpha)$ . Now apply Corollary 4.2.4 to  $\mathrm{sp}_k(\Phi)$ .  $\square$

# Chapter 5

## The $p$ -adic $L$ -function on Hida families

In this section, we construct a  $p$ -adic  $L$ -function interpolating the values  $L(1, \text{ad}(f) \otimes \alpha)$  as  $f$  varies in a Hida family. Input from Hida theory will only be sketched, and the reader is strongly advised to refer to the original works of Hida.

### 5.1 Base-change Hida families

Consider the Iwasawa algebra  $\Lambda_{\mathbf{Q}} := \mathcal{O}[[1 + p\mathbf{Z}_p]]$ . The points in  $\text{Spec } \Lambda_{\mathbf{Q}}(\overline{\mathbf{Q}}_p)$  correspond to  $\mathcal{O}$ -algebra homomorphisms  $\Lambda_{\mathbf{Q}} \rightarrow \overline{\mathbf{Q}}_p$ , or equivalently continuous characters  $1 + p\mathbf{Z}_p \rightarrow \overline{\mathbf{Q}}_p^\times$ . For  $k \in \mathbf{Z}_{\geq 0}$  and a Dirichlet character  $\epsilon$  of conductor  $p^e$ , let  $P_{k,\epsilon} \in \text{Spec } \Lambda_{\mathbf{Q}}(\overline{\mathbf{Q}}_p)$  be the point induced by the character  $\gamma \mapsto \epsilon(\gamma)\gamma^k$  for any topological generator  $\gamma \in 1 + p\mathbf{Z}_p$ .

Let  $\mathbf{h}_{\mathbf{Q}}$  be the universal ordinary (cuspidal) Hecke algebra for  $\text{GL}_2(\mathbf{Q})$  defined in [Hid86a], [Hid86b] (see the next paragraph for our choice of normalization!), and  $\mathbf{I}$  be a normal domain which is finite flat over  $\Lambda_{\mathbf{Q}}$ . Throughout we shall fix a Hida family  $\lambda : \mathbf{h}_{\mathbf{Q}} \rightarrow \mathbf{I}$  with tame level  $N$  and tame character  $\chi : (\mathbf{Z}/Np\mathbf{Z})^\times \rightarrow \mathcal{O}^\times$ ; equivalently, we can think of this as an ordinary  $\mathbf{I}$ -adic form  $\mathbf{f} \in \mathbf{S}^{\text{ord}}(N, \chi; \mathbf{I})$  [Hid93a].

Our convention is as follows. For any *arithmetic* point  $P \in \text{Spec } \mathbf{I}(\overline{\mathbf{Q}}_p)$ , i.e., one that lies above  $P_{k,\epsilon} \in \text{Spec } \Lambda_{\mathbf{Q}}(\overline{\mathbf{Q}}_p)$  for some  $k \in \mathbf{Z}_{\geq 0}$  and  $\epsilon$ , the specialization  $\lambda_P : \mathbf{h}_{\mathbf{Q}} \rightarrow \overline{\mathbf{Q}}_p^\times$  at  $P$  corresponds to the system of Hecke eigenvalues given by an *ordinary* eigenform  $\mathbf{f}_P \in S_{k+2}(Np^e, \chi\epsilon\omega^{-k}; \overline{\mathbf{Q}}_p)$ . We apologize for this unconventional normalization, but note that it is equivalent to the usual one and has the advantage of vastly simplifying notation for base-change considerations.

*Remark.* The Iwasawa algebra  $\Lambda = \mathcal{O}[[\mathbf{Z}_p^\times]]$  is isomorphic to the product of  $p-1$  copies of  $\Lambda_{\mathbf{Q}} = \mathcal{O}[[1+p\mathbf{Z}_p]]$ . Whenever a Hida family with tame character  $\chi$  is given, we will implicitly fix the projection  $\Lambda \rightarrow \Lambda_{\mathbf{Q}}$  that corresponds to the  $p$ -part of  $\chi$  (a power of the Teichmüller character  $\omega$ ).

Let  $\Lambda_K := \mathcal{O}[[\mathcal{O}_{K,p}^\times/(\text{torsion})]]$  be the Iwasawa algebra of the torsion-free part of  $\mathcal{O}_{K,p}^\times$ . In [Hid94b], Hida defines the universal ordinary Hecke algebra  $\mathbf{h}_K$  for  $\text{GL}_2(K)$  and shows that it is finite and torsion over  $\Lambda_K$ . Under the canonical base-change homomorphism  $\mathbf{h}_K \rightarrow \mathbf{h}_{\mathbf{Q}}$  which is surjective, every Hida family on  $\text{GL}_2(\mathbf{Q})$  lifts to a Hida family on  $\text{GL}_2(K)$ .

**Definition 5.1.1.** Given a Hida family  $\lambda : \mathbf{h}_{\mathbf{Q}} \rightarrow \mathbf{I}$  for  $\text{GL}_2(\mathbf{Q})$ , its base-change Hida family for  $\text{GL}_2(K)$  is denoted by  $\lambda^K : \mathbf{h}_K \rightarrow \mathbf{I}$ .

At an arithmetic point  $P$  lying above  $P_{k,\epsilon}$ ,  $\lambda^K$  specializes to the base-change of  $\lambda_P$ . Under our normalization,  $\mathbf{f}_P$  has weight  $k+2$  and its base-change  $\text{BC}(\mathbf{f}_P)$  has weight  $(k, k)$ .

*Remark.* Geometrically,  $\lambda^K$  corresponds to an irreducible component of  $\mathbf{h}_K$  which is supported over the parallel weights on  $\mathcal{O}_{K,p}^\times$ .

## 5.2 Control theorem

In [Hid93b] and [Hid94b], Hida proves control theorems for the ordinary parts of the  $p^\infty$ -level cohomology group and universal Hecke algebra for  $\text{GL}_2$  over an arbitrary



number field. In the base-change situation, we have the following:

**Theorem 5.2.1.** *Suppose  $\lambda : \mathfrak{h}_{\mathbf{Q}} \rightarrow \mathbf{I}$  is a Hida family. Then the  $\lambda^K$ -eigenspace for  $\mathfrak{h}_K$  in*

$$H_{c,\text{ord}}^2(Y_K, \mathcal{D}(\Lambda)) \otimes_{\Lambda} \text{Frac}(\mathbf{I})$$

*is one-dimensional.*

*Proof (sketch).* By [Hid94b], every irreducible component of  $\mathfrak{h}_K$  occurs in the cohomology  $H_{c,\text{ord}}^2(Y_K, \mathcal{D}(\Lambda_K))$ . Components which are obtained from base-change via  $\mathfrak{h}_K \rightarrow \mathfrak{h}_{\mathbf{Q}}$  factor through  $\Lambda_K \twoheadrightarrow \Lambda_{\mathbf{Q}}$  corresponding to the closed subscheme of  $\text{Spec } \Lambda_K$  of parallel weights.

Strong multiplicity one for  $\text{GL}_2(K)$  implies that the eigenspace is one-dimensional. □

By Theorem 5.2.1, we may choose a  $\text{Frac}(\mathbf{I})$ -basis  $\mathcal{F}$  of the  $\lambda^K$ -eigenspace, which realizes the base-change Hida family as a Hecke eigenclass belonging to the cohomology  $H_{c,\text{ord}}^2(Y_K, \mathcal{D}(\Lambda)) \otimes_{\Lambda} \text{Frac}(\mathbf{I})$ . Roughly speaking, we can apply the weight  $k$  specialization map

$$\rho_k : H_c^2(Y_K, \mathcal{D}(\Lambda)) \rightarrow H_c^2(Y_K, V_{k,k}(\mathcal{O}))$$

to get

$$\rho_k(\mathcal{F}) \in H_c^2(Y_K, V_{k,k}(\mathcal{O})) \otimes_{\mathcal{O}} \overline{\mathbf{Q}_p}.$$

Comparing this with the basis elements  $\widehat{\delta}_2$  defined in Proposition 2.5.1 yields  $p$ -adic error terms which measure how far each  $\rho_k(\mathcal{F})$  is from being integral. There are two minor issues with this, however:

1. We have to avoid the primes  $P$  which divide the denominator of  $\mathcal{F}$ .
2. We have only set up the notation for Bianchi forms with *trivial* Nebentype.

To address (1), we simply need to avoid finitely many primes. Although (2) poses a serious condition on the tame character of the given Hida family, it will be compatible with our setting. Thus we content ourselves with an *ad hoc* definition.

**Definition 5.2.2.** Suppose  $\lambda : \mathbf{h}_{\mathbf{Q}} \rightarrow \mathbf{I}$  is a Hida family, and  $\mathcal{F}$  is a  $\text{Frac}(\mathbf{I})$ -basis of the  $\lambda^K$ -eigenspace of  $H_{c,\text{ord}}^2(Y_K, \mathcal{D}(\Lambda)) \otimes_{\Lambda} \text{Frac}(\mathbf{I})$  by Theorem 5.2.1. Then for all arithmetic points  $P \in \text{Spec } \mathbf{I}(\overline{\mathbf{Q}}_p)$  of weight  $k = k_P \in \mathbf{Z}_{\geq 2}$  such that:

1.  $\mathcal{F}$  does not have a pole at  $P$ ;
2. the base-change of  $\mathbf{f}_P$  has *trivial* Nebentype;

the  $p$ -adic error term  $c_P(\mathcal{F}) \in \overline{\mathbf{Q}}_p$  is defined by the relation

$$\rho_k(\mathcal{F}) = c_P(\mathcal{F}) \cdot \widehat{\delta}_2(\text{BC}(\mathbf{f}_P))$$

in  $H_c^2(Y_K, V_{k,k}(\mathcal{O})) \otimes_{\mathcal{O}} \overline{\mathbf{Q}}_p$ . Note that  $c_P(\mathcal{F}) \in \overline{\mathbf{Q}}_p^{\times}$  for all but finitely many such  $P$ .

In the absence of additional hypotheses, it seems difficult to control these error terms  $c_P$  as  $P$  varies over the arithmetic points, but recent breakthroughs in modularity lifting might allow us to obtain better control. This is similar to the difference between [GS93] and [Kit94]; the former obtains no control over the  $p$ -adic error terms, and the latter imposes Gorenstein-type conditions to ensure the  $p$ -adic error terms are units. We will not pursue this aspect any further in this thesis.

In the remainder of this subsection, we take a brief digression into a control theorem for the specializations of  $H_{c,\text{ord}}^2(Y_K, \mathcal{D}(\Lambda))$ . This is unnecessary for the construction of our  $p$ -adic  $L$ -function, which will be defined on a *given* Hida family. The reader should feel free to skip the following discussion.

Let  $P_k \in \text{Spec } \Lambda$  be the arithmetic point corresponding to the specialization map  $\text{sp}_k : \Lambda \rightarrow \mathcal{O}$  defined in Section 3.4.

**Theorem 5.2.3.** *Specialization at weight  $k$  induces a short exact sequence on ordinary parts*

$$0 \rightarrow H_{c,\text{ord}}^2(Y_K, \mathcal{D}(\Lambda)) \otimes_{\Lambda} \Lambda/P_k \xrightarrow{\text{sp}_k} H_{c,\text{ord}}^2(Y_K, V_{k,k}(\mathcal{O})) \rightarrow H_{c,\text{ord}}^3(Y_K, \mathcal{D}(\Lambda))[P_k] \rightarrow 0,$$

where  $M[P]$  denotes the submodule of  $M$  annihilated by  $P$ .

*Proof (sketch).* We give a sketch of the proof with the following steps:

1. Recall that  $P_k$  is a principal ideal generated by  $u_k := X + 1 - \gamma^k \in \Lambda$  for any choice of topological generator  $\gamma$  of  $1 + p\mathbf{Z}_p$ . Then multiplication by  $u_k$  on  $\Lambda$  induces a long exact sequence

$$\begin{aligned} H_c^2(Y_K, \mathcal{D}(\Lambda)) &\xrightarrow{\cdot u_k} H_c^2(Y_K, \mathcal{D}(\Lambda)) \longrightarrow H_c^2(Y_K, \mathcal{D}(\Lambda) \otimes_{\Lambda} \Lambda/P_k) \\ &\longrightarrow H_c^3(Y_K, \mathcal{D}(\Lambda)) \xrightarrow{\cdot u_k} H_c^3(Y_K, \mathcal{D}(\Lambda)) \end{aligned}$$

and hence a short exact sequence

$$0 \rightarrow H_c^2(Y_K, \mathcal{D}(\Lambda)) \otimes_{\Lambda} \Lambda/P_k \rightarrow H_c^2(Y_K, \mathcal{D}(\Lambda) \otimes_{\Lambda} \Lambda/P_k) \rightarrow H_c^3(Y_K, \mathcal{D}(\Lambda))[P_k] \rightarrow 0$$

2. It is easy to check that the specialization map  $\text{sp}_k : \mathcal{D}(\Lambda) \rightarrow \mathcal{D}_k(\mathcal{O})$  defined in Section 3.4 induces an isomorphism

$$\mathcal{D}(\Lambda) \otimes_{\Lambda} \Lambda/P_k \xrightarrow{\sim} \mathcal{D}_k(\mathcal{O}),$$

so the middle term in the exact sequence above is  $H_c^2(Y_K, \mathcal{D}_k(\mathcal{O}))$ .

3. The specialization map  $\rho_k : \mathcal{D}_k(\mathcal{O}) \rightarrow V_{k,k}(\mathcal{O})$  induces an isomorphism on the ordinary parts

$$H_{c,\text{ord}}^2(Y_K, \mathcal{D}_k(\mathcal{O})) \xrightarrow{\sim} H_{c,\text{ord}}^2(Y_K, V_{k,k}(\mathcal{O})).$$

This follows by adapting the arguments of [Ste94], [Wil17] and [BSW19], where analogous control theorems are proved for small-slope cohomology classes in the context of overconvergent cohomology.

Combining these yields the desired short exact sequence.  $\square$

Under the assumption that the Hida family is non-Eisenstein, we are able to prove a perfect control theorem for  $H_{c,\text{ord}}^2$ , localized at the corresponding (non-Eisenstein) maximal ideal of the universal ordinary Hecke algebra.

**Corollary 5.2.4** (Perfect control). *Let  $\mathfrak{m}$  be a maximal ideal of  $\mathfrak{h}_{\mathbf{Q}}$  that is non-Eisenstein. Then specialization at weight  $k$  induces an isomorphism*

$$H_{c,\text{ord}}^2(Y_K, \mathcal{D}(\Lambda))_{\mathfrak{m}} \otimes_{\Lambda} \Lambda/P_k \xrightarrow{\sim} H_{c,\text{ord}}^2(Y_K, V_{k,k}(\mathcal{O}))_{\mathfrak{m}}.$$

*Proof.* This follows immediately from Theorem 5.2.3, since localization at a non-Eisenstein ideal kills  $H_{c,\text{ord}}^3$ .  $\square$

### 5.3 Construction of the $p$ -adic $L$ -function

Finally, we are ready to construct the  $p$ -adic  $L$ -function by evaluating the big evaluation map  $\mathbb{L}_{\Lambda}$  on a Hecke eigenclass realizing the base-change Hida family.

$$\begin{array}{ccc} H_c^2(Y_K, \mathcal{D}(\Lambda)) & \xrightarrow{\mathbb{L}_{\Lambda}} & \Lambda \\ \rho_k \downarrow & & \downarrow \text{sp}_k \\ H_c^2(Y_K, V_{k,k}(\mathcal{O})) & \xrightarrow{\mathbb{L}_k} & \mathcal{O} \end{array}$$

For any classical eigenform  $f$  with level divisible by  $p$ , we denote by  $a_p(f)$  its  $U_p$ -eigenvalue.

**Theorem 5.3.1.** *Let  $\lambda : \mathfrak{h}_{\mathbf{Q}} \rightarrow \mathbf{I}$  be a Hida family with tame character  $\alpha\omega^r$ , and  $\mathcal{F}$  be a  $\text{Frac}(\mathbf{I})$ -basis of the  $\lambda^K$ -eigenspace of  $H_{c,\text{ord}}^2(Y_K, \mathcal{D}(\Lambda)) \otimes_{\Lambda} \text{Frac}(\mathbf{I})$ . Let  $A_r \subset \text{Spec } \mathbf{I}(\overline{\mathbf{Q}}_p)$*

be the set of arithmetic points lying above  $P_k$  with  $k \equiv r \pmod{p-1}$ . Then there exists  $\mathcal{L} \in \text{Frac}(\mathbf{I})$  such that

$$\mathcal{L}(P) = c_P(\mathcal{F})(1 - a_p(\mathbf{f}_P)^{-2}p^{k+1})L^{\text{alg}}(1, \text{ad}(\mathbf{f}_P) \otimes \alpha)$$

for almost all  $P \in A_r$ .

*Proof.* The definition of  $A_r$  ensures that at an arithmetic point  $P \in A_r$  of weight  $k$ , the Hida family specializes to  $\mathbf{f}_P \in S_{k+2}(Np, \alpha)$ , whose base change  $\text{BC}(\mathbf{f}_P)$  has weight  $(k, k)$  and trivial Nebentype.

After extending scalars, we have

$$\mathbb{L}_\Lambda : H_c^2(Y_K, \mathcal{D}(\Lambda)) \otimes_\Lambda \text{Frac}(\mathbf{I}) \rightarrow \text{Frac}(\mathbf{I})$$

and define

$$\mathcal{L} := \mathbb{L}_\Lambda(\mathcal{F}) \in \text{Frac}(\mathbf{I}).$$

Since  $p$  is split in  $K$ , the  $U_p$ -eigenvalue of  $\text{BC}(\mathbf{f}_P)$  is equal to  $a_p(\mathbf{f}_P)^2$ . Then the interpolation formula follows from Theorem 4.3.1 and the definition of  $c_P(\mathcal{F})$ .  $\square$

## 5.4 Further remarks

For eigenforms  $f$  with Nebentype not equal to  $\alpha$ , the integral formula [Hid99] for  $L(1, \text{ad}(f) \otimes \alpha)$  involves twisting the base-change Bianchi form  $f_K$  by a suitable Hecke character  $\varphi : \mathbf{A}_K^\times / K^\times \rightarrow \mathbf{C}^\times$ .

Twisting the evaluation map  $\mathbb{L}_\Lambda : H_c^2(Y_K, \mathcal{D}(\Lambda)) \rightarrow \Lambda$  by an appropriate character  $\varphi$  will give a  $p$ -adic  $L$ -function on a different Hida family, but the set of weights at which we can determine the specialization will simply be a translate of  $A_r$ , as in Theorem 5.3.1; in particular, all the eigenforms  $f$  will have the same Nebentype. In order to

extend the interpolation formula to a larger domain of weights, it is necessary to vary the Hecke character  $\varphi$  in a  $p$ -adic family. This is an ongoing work.

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