

**CASE STUDY FOR EXPANDING
EJOURNAL PRESERVATION:
AN IN DEPTH ANALYSIS OF
DE GRUYTER'S ACQUISITION OF 67 BERKELEY
ELECTRONIC PRESS JOURNALS**

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February 24, 2015

Introduction and Project Impetus

2CUL: Collaboration between Columbia University and Cornell University Libraries:

- Technical Services
- Collection Development
- Technology/Digital Preservation
 - *“Develop and embrace comprehensive strategies: for ... digital preservation...”*

Introduction and Project Impetus

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Introduction and Project Impetus

2011: 2CUL LOCKSS Assessment

How well is LOCKSS being deployed and does it fit into the preservation strategies of 2CUL's libraries?

2012: Keepers Registry Analysis (Duke and 2CUL Holdings)

The Keepers Registry

Supporting long-term access to journal content

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Discover who is looking after your e-journals

Search

Input a search term (e.g. free text, or a title or ISSN(s))

[Display example searches](#)



[Glossary and definitions](#)

The following organisations are the Keepers of digital content, working on your behalf to ensure long-term access to the scholarly and cultural record. They provide the registry with information on their archival holdings, ordered by most recent update (date of which is shown):

- [Library of Congress](#) (6 January 2015)
- [Archaeology Data Service](#) (5 January 2015)
- [British Library](#) (2 January 2015)
- [HathiTrust](#) (1 January 2015)
- [Scholars Portal](#) (1 January 2015)
- [Portico](#) (24 December 2014)
- [CLOCKSS Archive](#) (16 December 2014)
- [Global LOCKSS Network](#) (16 December 2014)
- [National Science Library, Chinese Academy of Sciences](#) (6 January 2014)
- [e-Depot](#) (24 April 2012)

The most up-to-date information may be available on the Keeper's website.

Introduction and Project Impetus

2CUL LOCKSS Assessment:

- At the time of the analysis, Cornell held 45,602 eJournal titles with an ISSN, eISSN, or both
- LOCKSS and Portico combined preserve 11,883 titles, or **26.1%**, of Cornell's 45,602 eJournal titles with an ISSN, eISSN, or both.

Columbia: **17%** eJournal holdings preserved with Portico

Introduction and Project Impetus

Table 1
E-Journal Preservation Status^a for Three US Research Libraries.

University library	% 'Preserved' by 1 or more	% 'Preserved' by 3 or more	% Not known as 'Preserved'	Total having a valid ISSN
Columbia	26	12	74	58,882
Cornell	28	13	72	54,698
Duke	22	10	78	61,682

^a When checked against preservation activity of The British Library, CLOCKSS, e-Depot (Netherlands), Global LOCKSS Network, HathiTrust, National Science Library of China and Portico, as reported into The Keepers Registry.

Library's Role

“Today, librarians are looking at their collections and the quality of new materials that are being added to them daily. Librarians and publishers are at odds over the solution to these new preservation problems.”

“The attitude of wait-and-see by conservationists and librarians, although nurtured through years of frustration, must change.”

Library's Role


1985

Lauren Jackson-Beck, "The problems of preservation: can librarians and publishers solve them?" *Collection Building* 7, no. 2 (1985): 21-25.

Library's Role

WHY?

Project Goals

- 
- Explore steps to identify non-preserved eJournal content
 - Select a set of representative titles and test strategies that will result in the preservation of this content

- 
- Work with aggregators, small and society publishers, and major publishers to implement or strengthen preservation initiatives

- 
- Investigate license language and develop model language

- 
- Raise awareness of eJournal preservation risks and identify ways for libraries to engage in addressing these challenges

Project Goals

More Information:

Columbia University Libraries Behind the Scenes:

- <https://library.columbia.edu/bts/cerm/eJournal-preservation.html>

Cornell University Libraries Project Impetus and Strategy:

- <https://confluence.cornell.edu/display/culpublic/Project+Impetus+and+Strategy>

Perpetual Access vs. Archival Rights

- Perpetual Access or Post-Cancellation Access:

Access to content from the years in which the library subscribed, regardless of current subscription status

- Preservation or Archival Access:

Ensures access to content in a trigger event:

- *When a publisher ceases operations and titles are no longer available from any other source*
- *When a publisher ceases to publish and offer a title, and it is not offered by another publisher or entity*
- *When back issues are removed from a publisher's offering and are not available elsewhere*
- *Upon catastrophic failure by a publisher's delivery platform for a sustained period of time*

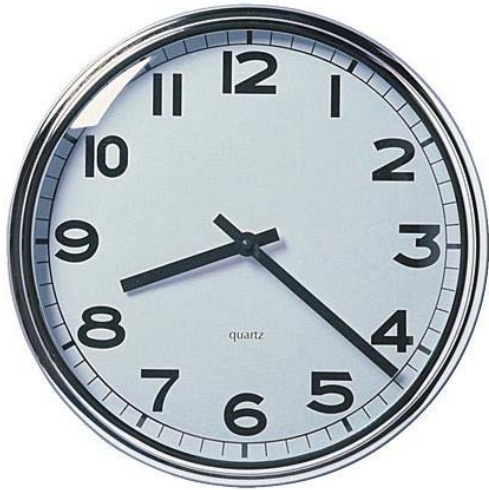
Exclusion Categories



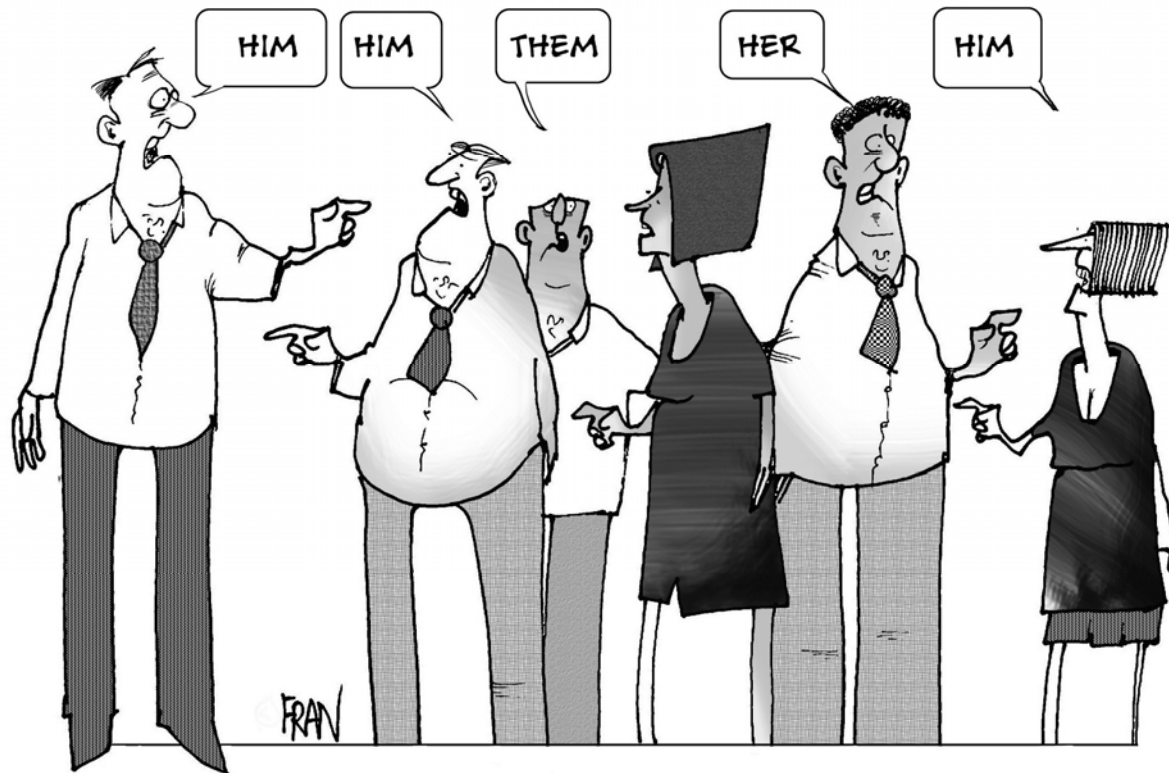
Journal title: 城镇供水
Sponsor: 中国城镇供水排水协会
Publication frequency: 双月
Publication location: 北京市
Language: 中文;
Size: 大16开
ISSN: 1002-8420
CN: 11-1703/TU

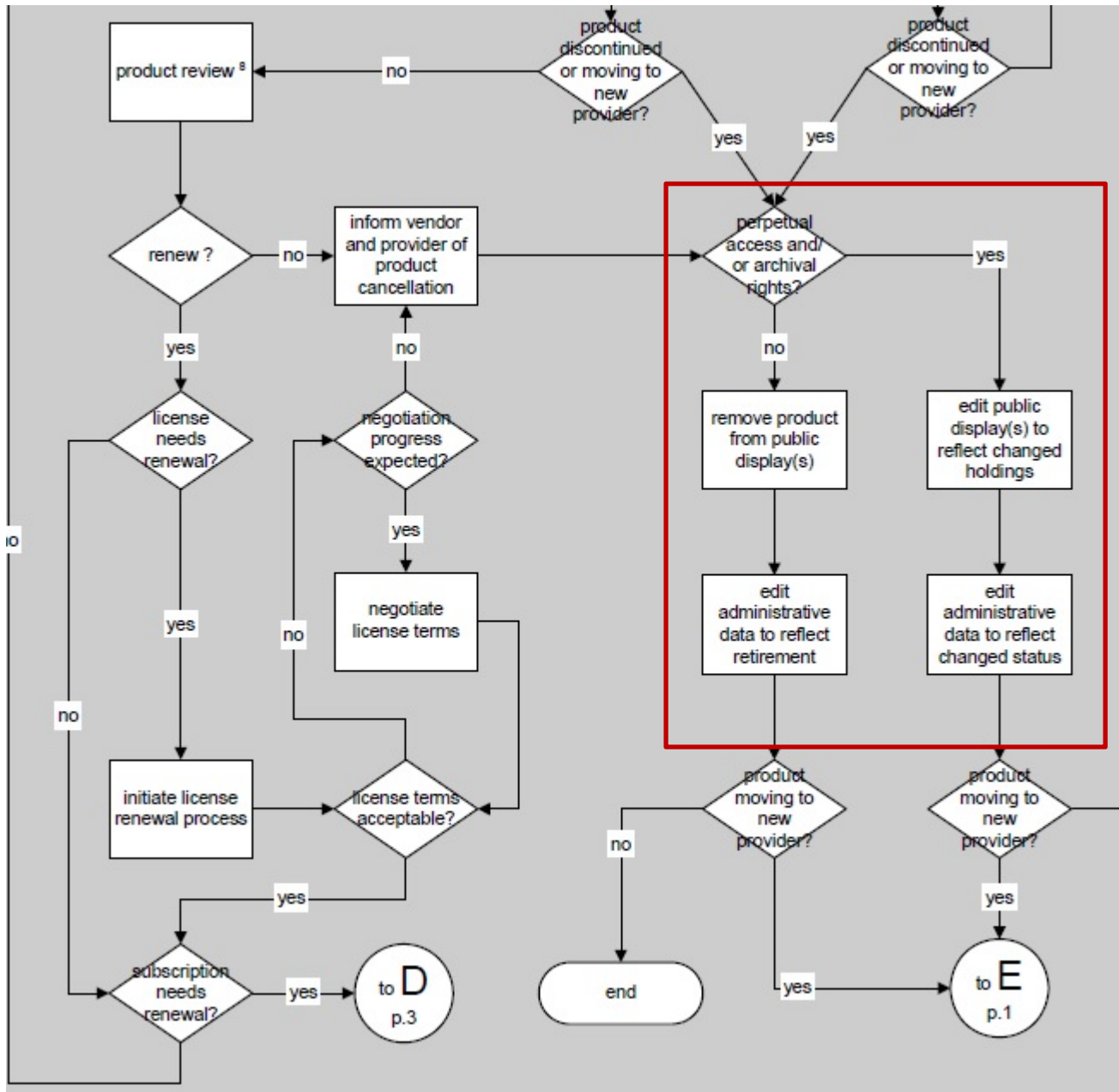


Roadblocks



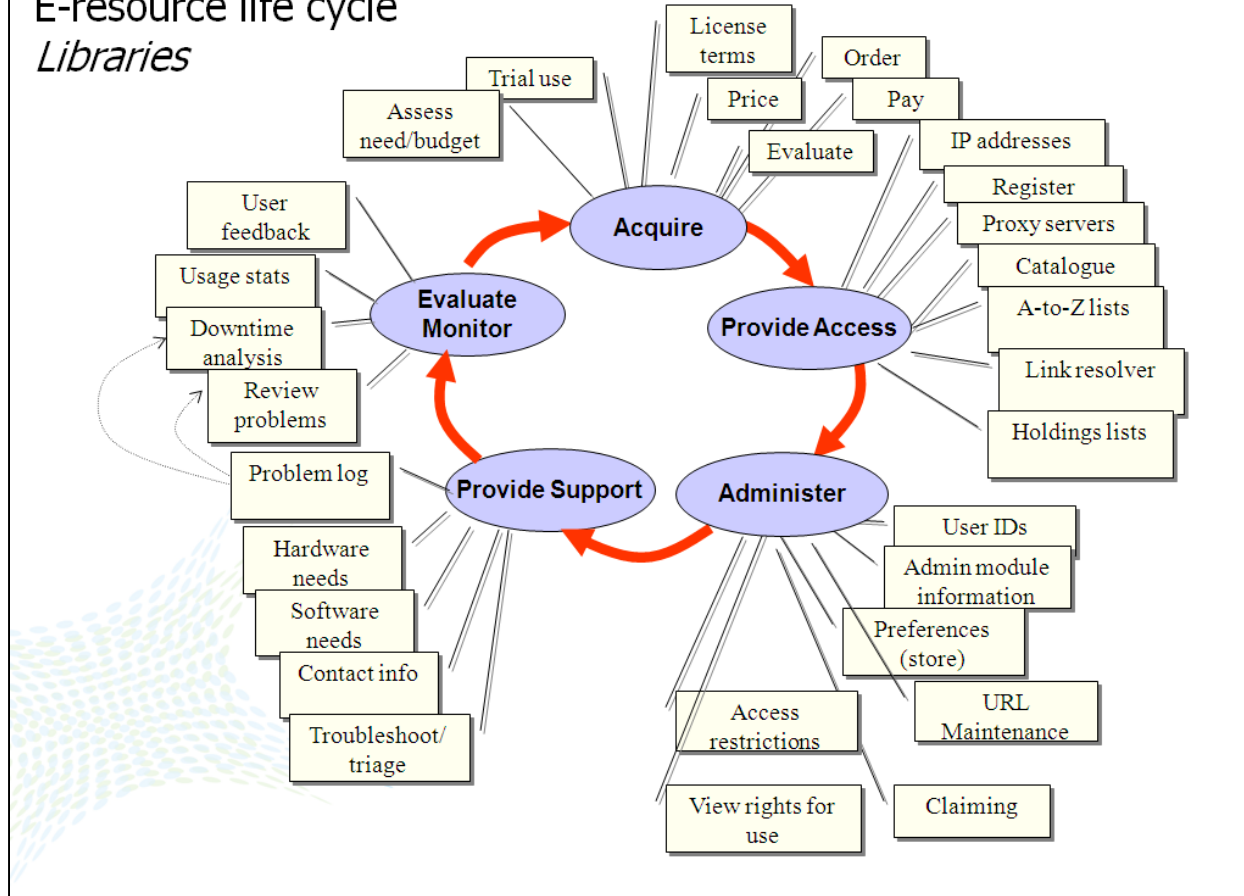
Roadblocks





DLF ERM Initiative, 2004: <http://old.diglib.org/pubs/dlf102/dlf102.htm>

E-resource life cycle *Libraries*



Case Study: Transfer titles

*What happens when a
[preserved] title transfers
publishers?*

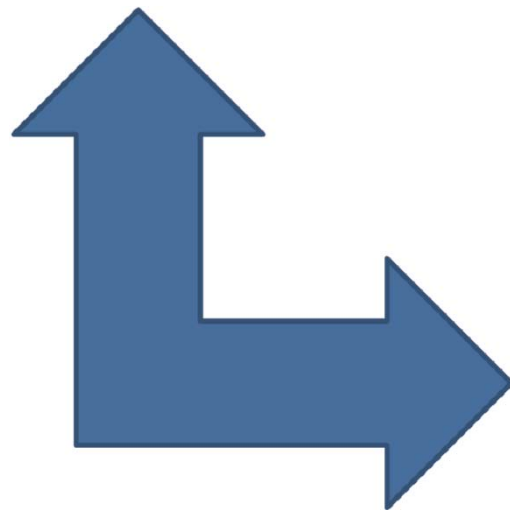
Case Study: Transfer titles

Priority #1 = Maintaining Access:

1. Create alerts for advance notice reminders
2. Review title lists, new license agreement (if applicable), update payment records
3. Update access information with new publishers
4. Update discovery information
5. Notify users
6. Test access and implement

Where does preservation fit into this workflow?

Case Study: bepress → de Gruyter



2011



Case Study: bepress ➔ de Gruyter

A	B	C	D	E	F	G	H
Publisher	Title	ISSN	eISSN	Source	Pre-2011 Title?	Ceased post-2011?	Current Title at De Gruyter?
Berkeley Electronic Press (through 2011)	Asian Journal of Comparative Law	N/A	1932-0205	Portico	Yes	No	Yes
From DG in SerSol:	ASIAN JOURNAL OF COMPARATIVE LAW	1932-0205		SerSel DB NERL ResearchNow List	Yes	No	Yes
Berkeley Electronic Press	Asian Journal of Law and Economics		2154-4611	CLOCKSS	Yes	No	Yes
Berkeley Electronic Press	Asian Journal of Law and Economics		2154-4611	LOCKSS	Yes	No	Yes
From DG in SerSol:	Asian Journal of Law and Economics	2154-4611		SerSel DB NERL ResearchNow List	Yes	No	Yes
	Asia-Pacific Journal of Risk and Insurance			Blog Post	Yes	No	Yes
Berkeley Electronic Press	Asia-Pacific Journal of Risk and Insurance	2153-3792		CAUL 2010 Price List ResearchNow	Yes	No	Yes
Berkeley Electronic Press	Asia-Pacific Journal of Risk and Insurance		2153-3792	CLOCKSS	Yes	No	Yes
Berkeley Electronic Press	Asia-Pacific Journal of Risk and Insurance		2153-3792	LOCKSS	Yes	No	Yes
Berkeley Electronic Press (through 2011)	Asia-Pacific Journal of Risk and Insurance	2153-3792	1793-2157	Portico	Yes	No	Yes
From DG in SerSol:	Asia-Pacific Journal of Risk and Insurance	2153-3792		SerSel DB NERL ResearchNow List	Yes	No	Yes
	B.E. Journal of Economic Analysis & Policy			Blog Post	Yes	No	Yes
Berkeley Electronic Press	B.E. Journal of Economic Analysis & Policy	1555-0494		CAUL 2010 Price List ResearchNow	Yes	No	Yes
Berkeley Electronic Press	B.E. Journal of Economic Analysis & Policy		1935-1682	CLOCKSS	Yes	No	Yes
De Gruyter	B.E. Journal of Economic Analysis & Policy	1935-1682		DG Website	Yes	No	Yes
Berkeley Electronic Press	B.E. Journal of Economic Analysis & Policy	1555-0494	1935-1682	LOCKSS	Yes	No	Yes
Berkeley Electronic Press (through 2011)	B.E. Journal of Economic Analysis & Policy	N/A	1935-1682	Portico	Yes	No	Yes
From DG in SerSol:	B.E. Journal of Economic Analysis & Policy	1935-1682		SerSel DB NERL ResearchNow List	Yes	No	Yes

Case Study: bepress → de Gruyter

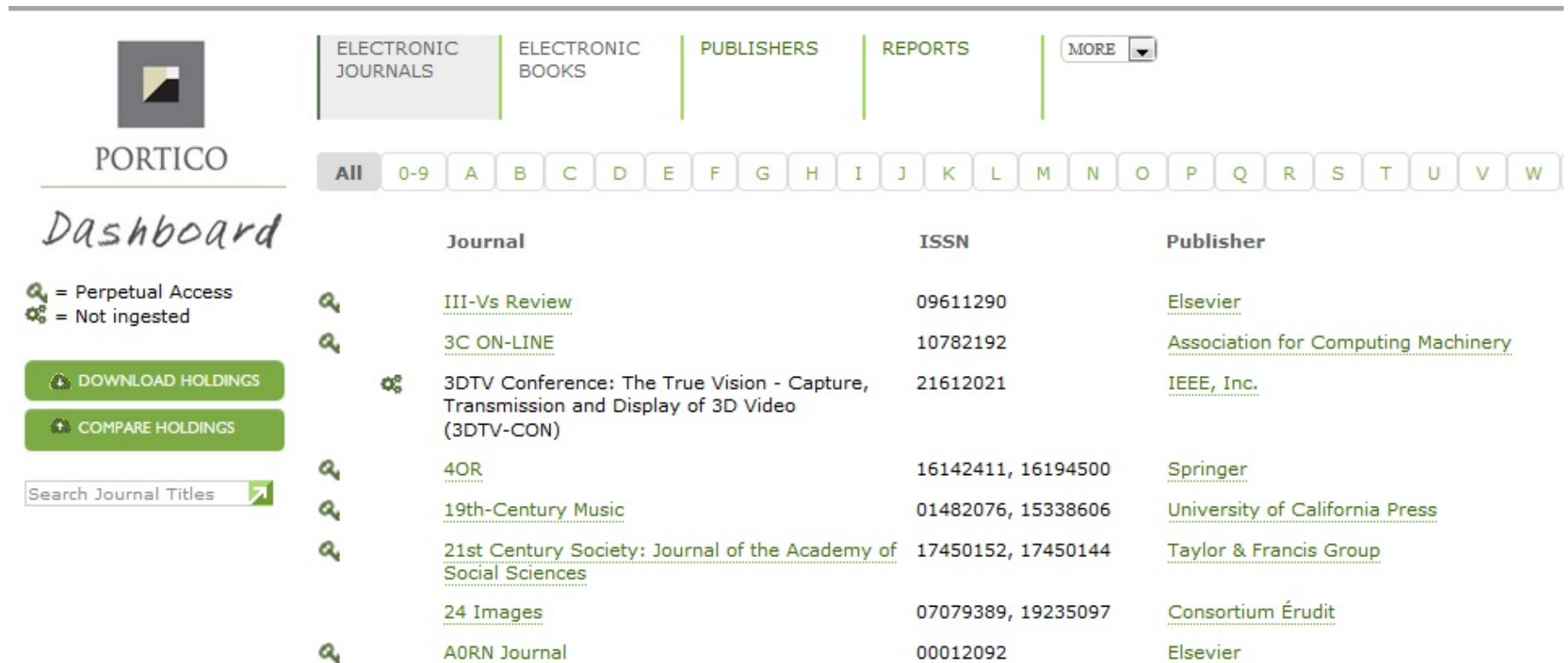
Sept. 2011:
Press release
announces de
Gruyter
acquires
bepress's "[67
journals](#)"

2013-2014:
One title
ceases,
another
becomes Open
Access, two
publish direct

2013: Four
titles transfer
to Wiley.
Current
volumes
preserved

2015: [Three
NASPA](#) titles
transfer to
Taylor &
Francis

Case Study: bepress ➔ de Gruyter



PORTICO

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All | 0-9 | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W

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🔍 = Perpetual Access
⚙️ = Not ingested

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📄 COMPARE HOLDINGS

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	Journal	ISSN	Publisher
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🔍	3C ON-LINE	10782192	Association for Computing Machinery
⚙️	3DTV Conference: The True Vision - Capture, Transmission and Display of 3D Video (3DTV-CON)	21612021	IEEE, Inc.
🔍	4OR	16142411, 16194500	Springer
🔍	19th-Century Music	01482076, 15338606	University of California Press
🔍	21st Century Society: Journal of the Academy of Social Sciences	17450152, 17450144	Taylor & Francis Group
	24 Images	07079389, 19235097	Consortium Érudit
🔍	A0RN Journal	00012092	Elsevier

Case Study: bepress → de Gruyter

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Case Study: bepress ➔ de Gruyter



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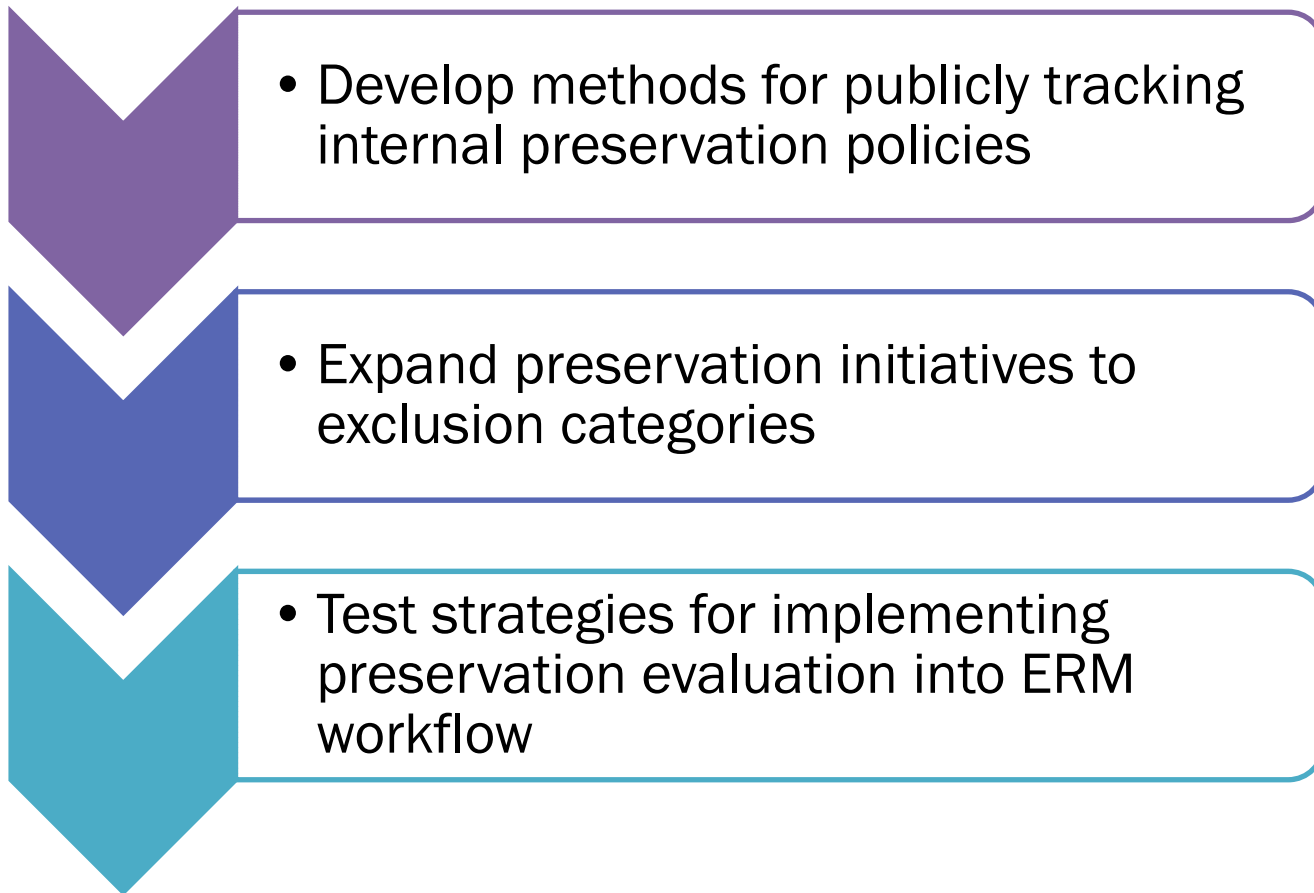
Case Study: bepress ➔ de Gruyter

Takeaways

Three questions we need to ask when a title transfers:

1. What are the preservation plans for the current and new publishers?
2. How can we ensure preservation with the new publisher? (*ask your consortia about preservation initiatives!*)
3. Where can I track this information?

Next Steps



QUESTIONS?

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