Comparison of health outcomes among affiliated and lay disaster volunteers enrolled in the World Trade Center Health Registry

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A B S T R A C T

Background. Volunteers (non-professional rescue/recovery workers) are universally present at man-made and natural disasters and share experiences and exposures with victims. Little is known of their disaster-related health outcomes.

Methods. We studied 4974 adult volunteers who completed the World Trade Center Health Registry 2006–07 survey to examine associations between volunteer type (affiliated vs. lay) and probable posttraumatic stress disorder (PTSD); new or worsening respiratory symptoms; post-9/11 first diagnosis of anxiety disorder, depression, and/or PTSD; and asthma or reactive airway dysfunction syndrome (RADS). Affiliated volunteers reported membership in a recognized organization. Lay volunteers reported no organizational affiliation and occupations unrelated to rescue/recovery work. Adjusted odds ratios (ORadj) were calculated using multinomial regression.

Results. Lay volunteers were more likely than affiliated volunteers to have been present in lower Manhattan, experience the dust cloud, horrific events and injury on 9/11 and subsequently to report unmet healthcare needs. They had greater odds of early post-9/11 mental health diagnosis (ORadj 1.6; 95% CI: 1.4–2.0) and asthma/RADS (1.8; 1.2–2.7), chronic PTSD (2.2; 1.7–2.8), late-onset PTSD (1.9; 1.5–2.5), and new or worsening lower respiratory symptoms (2.0; 1.8–2.4).

Conclusions. Lay volunteers’ poorer health outcomes reflect earlier, more intense exposure to and lack of protection from physical and psychological hazards. There is a need to limit volunteers’ exposures during and after disasters, as well as to provide timely screening and health care post-disaster.

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Introduction

Volunteers are a universal feature of man-made and natural disasters serving as one of the main sources of outside support in post-disaster recovery work (Thormar, et al., 2010). In the last decade, volunteers have played a key role in recovery and relief efforts after the oil spill in the Gulf of Mexico (2010), the Haitian earthquake (2010), Hurricane Katrina (2005), the London transit bombings (2005), and the Indian Ocean earthquake and tsunami (2004). Volunteer participation is often necessary and unavoidable due to the magnitude and impact of such events (Thormar, et al., 2010).

On September 11, 2001, two airplanes crashed into the Twin Towers of the World Trade Center (WTC), causing their collapse and extensive damage to numerous other buildings. Approximately 2800 people lost their lives (Farfel, et al., 2008). Subsequent recovery and relief efforts included paid and volunteer professional rescue/recovery workers (RRW) (fire, police or other emergency personnel), volunteers affiliated with relief organizations and lay volunteers (i.e., not affiliated with a recognized response organization) (American Red Cross, 2002, Steffen and Fothergill, 2009, Tierney, et al., 2001). The WTC disaster exposed an estimated 409,000 individuals to potentially hazardous chemicals, environmental toxins and psychological stressors that are risk factors for asthma and posttraumatic stress disorder (PTSD) (Landrigan, et al., 2004, Murphy, et al., 2007). A recent review found that all WTC disaster workers including volunteers faced an increased risk of mental health sequelae as a consequence of their intense disaster exposures (Bills, et al., 2008). Findings from the 2003–04 WTC Health Registry (Registry) survey demonstrate that RRW in occupations less prepared for the type of work performed at WTC sites were more likely to develop PTSD (Perrin, et al., 2007).
To build upon previous Registry findings by expanding the range of post-disaster outcomes, Registry data were used to compare mental and physical health outcomes and health care utilization among affiliated and lay volunteers. We hypothesized that lay volunteers would be more likely than affiliated volunteers to experience long-term adverse mental and physical health outcomes due to earlier arrival at WTC sites and more intense exposure to a multitude of hazards, as well as a lack of training, prior disaster experience, or insufficient post-disaster support. We also predicted they would be more likely to report unmet health care needs and less utilization of post-9/11 monitoring and treatment programs.

Methods

The Registry, created in 2002 by the NYC Department of Health and Mental Hygiene (NYCDOHMH) in collaboration with the Agency for Toxic Substances and Disease Registry, prospectively follows 71,437 individuals highly exposed to the WTC disaster and belonging to one or more eligibility groups: RRW and volunteers, lower Manhattan residents, area workers, passers-by, and school children and staff. The protocol was approved by the institutional review boards of the Centers for Disease Control and Prevention and NYCDOHMH.

List-identified enrollees were recruited from lists of potentially eligible individuals from governmental agencies, organizations, and employers. Self-identified enrollees contacted the Registry via phone or self-registered on a website. The 2003–04 Wave 1 survey (W1) included 68,802 adults (Farfel, et al., 2008). The 2006–07 Wave 2 (W2) survey updated the health status of 46,322 of the original adult enrollees (68% response rate) (Brackbill, et al., 2009). Registry methods are described in detail elsewhere (Brackbill, et al., 2009, Farfel, et al., 2008, Perrin, et al., 2007).

The present analysis focuses on 4974 enrollees who completed W1 and W2 surveys, were aged 18 years or older on 9/11, and reported volunteering in rescue/recovery activities between 09/11/01 and 06/30/02. Enrollees identifying as professional RRW, whether paid or unpaid for their services, were not considered volunteers for this analysis. Volunteer status was categorized as affiliated or lay based on the W1 question, “What organization did you work for at the WTC site?” Affiliated volunteers reported membership in recognized organizations (e.g., American Red Cross). Lay volunteers reported no organizational affiliation and occupations unrelated to rescue and recovery work. Lay volunteers included members of church groups or community organizations and individuals present in the area immediately following the attack. The W2 response rates for affiliated and lay volunteers were 67.3% and 67.8% respectively. From 9/11/01 to 9/14/01 lower Manhattan south of 14th street was considered a restricted zone, open only to credentialled emergency management and rescue personnel (Lorber, et al., 2007). Lay volunteers may have subsequently joined a professional organization to continue volunteering. After October 2001, only Ground Zero was restricted (Lorber, et al., 2007). Students and school staff who worked as volunteers were excluded due to small numbers.

We included as categorical variables: recruitment source (list- vs. self-identified), gender, eligibility category (worker-only vs. multiple eligibility groups), age group, race/ethnicity, education, 2002 household income, employment status, New York City residency, and state of residence on 9/11.

We assessed exposures and experiences previously shown to be associated with increased risk of adverse mental and physical health outcomes. Presence on 9/11 was defined as meeting at least one of the following criteria: being south of Chambers Street between the first plane’s impact and noon, being caught in the dust cloud, witnessing horrific events, sustaining an injury, or beginning work on the pile (the construction/restricted zone composed of rubble and remains from the collapse) on 9/11. Dust cloud exposure was classified as intense, some, or none (Brackbill, et al., 2009). Witnessing horrific events was assessed as having seen at least one of the following: an airplane hitting the WTC, people falling or jumping from the Towers, buildings collapsing, people running from a cloud or smoke, and people injured or killed. Injuries sustained on 9/11 included burns, cuts/abrasions/puncture wounds, strain/sprain, fractures, lacerated body and head injuries. Remremoval was defined as knowing anyone who lost his/her life on 9/11. Timing of volunteer work was classified by the first date enrollees worked at any WTC site and time spent at all sites combined. Because 9/11-related experiences were highly correlated with volunteer status, they were not controlled for in the data analyses.

A self-reported professional post-9/11 mental health diagnosis of depression, PTSD, and/or anxiety disorder for the first time after 9/11/01 was classified as early if diagnosed prior to 12/31/03 and late if diagnosed between 01/01/04 and 12/31/07. Date of diagnosis reflects time of presentation for care, not disease onset. Probable PTSD was defined as a score of 44 or greater on the stressor-specific PTSD Checklist-Civilian Version and categorized into four groups: chronic (W1+ [present at W1], W2+ [present at W2]), late onset (W1− [absent at W1], W2+), resolved (W1+, W2− [absent at W2]) or no PTSD (W1−, W2−) (American Psychiatric Association, 1994, Blanchard, et al., 1996, Dobie, et al., 2002, Koenen, et al., 2003, Perrin, et al., 2007, Ruggiero, et al., 2003).

New or worsening lower respiratory symptoms (LRS) since 9/11 were defined as having at least one of these symptoms at W1 that began or got worse after 9/11: wheezing, shortness of breath and/or persistent cough. Early post-9/11 asthma was defined as asthma or reactive airway dysfunction syndrome (RADS) diagnosed between 9/11/01 and 12/31/03 and late if diagnosed between 01/01/04 and 12/31/07.

Enrollees were asked at W2 if they had any unmet health care needs. Those answering affirmatively were asked if they were unable to get care for a problem related to 9/11. All were asked whether they had received any services from a list of established post-disaster medical monitoring and treatment programs.

Analyses were conducted using SAS Version 9.1 (SAS, 2005). Bivariate analyses tested differences between affiliated and lay volunteers using chi-square. Multinomial logistic analyses were performed for four outcomes: post-9/11 mental health diagnosis (early, late vs. no post-9/11), probable PTSD (chronic, late onset, resolved vs. no PTSD), new or worsening respiratory symptoms since 9/11, and post-9/11 asthma/RADS (early, late vs. none). Volunteer status (with affiliated volunteers as the reference group) was the primary predictor variable for all models. Crude and adjusted odds ratios and 95% confidence intervals (CI) are reported. Adjustment variables were recruitment source, gender, age on 9/11, race/ethnicity, and education.

Results

Volunteer groups differed significantly on all sociodemographic characteristics except education (Table 1). Affiliated volunteers were predominately list-identified, female, members of only one eligibility group, ages 45–64 years and non-Hispanic white. The largest proportion reported a 2002 household income of $25,000–49,999. Lay volunteers were predominately self-identified, male, members of more than one eligibility group, ages 25–44, and non-Hispanic white. The largest proportion reported a 2002 household income of $75,000–149,999. Lay volunteers were more likely to have known someone who lost their life on 9/11 and to have lived in NYC or New York State (NYS) on 9/11.

Lay volunteers had a wider range of exposures and experiences than affiliated volunteers (Table 2). A substantially greater proportion of lay volunteers were present on 9/11 (77.3% vs. 25.7%), thereby at greater risk for acute exposures, such as: intense dust cloud exposure, witnessing horrific events and sustaining an injury on 9/11 than affiliated volunteers. More lay volunteers began work on 9/11 (29.7%) and worked seven days or less (74.1%) than affiliated volunteers. Almost half (48.7%) of affiliated volunteers arrived between 9/18/2001 and 12/31/2001, and the majority worked more than seven days (54.0%).

Compared to affiliated volunteers, lay volunteers were significantly more likely to have received a post-9/11 mental health diagnosis (30.9% vs. 18.6%), have probable PTSD at W1 or W2 (34.0% vs. 13.3%), and report new or worsening LRS since 9/11 (63.5% vs. 34.9%) as well as post 9/11 asthma/RADS (8.5% vs. 4.3%) (Table 3). Table 4.1 shows the crude and adjusted odds ratios for mental health outcomes. The odds of having an early post-9/11 mental health diagnosis was 1.6 times (95% CI: 1.4–2.0) greater among lay than affiliated volunteers. Lay volunteers were 2.2 times (95% CI: 1.7–2.8) more likely to have chronic probable PTSD, 1.9 times (95% CI: 1.5–2.5) more likely to have late-onset probable PTSD and 1.7 times (95% CI: 1.2–2.6) more likely to have resolved probable PTSD than affiliated.
volunteers. Table 4.2 shows the crude and adjusted odds ratios for physical health outcomes. Lay volunteers were 2.0 times more likely (95% CI: 1.8–2.4) to report new or worsening LRS. The odds of early lung function decline were 2.0 times more likely (95% CI: 1.5–3.0) in lay volunteers than in affiliated volunteers, thereby increasing their risk of post-disaster mental health conditions.

Discussion

After 9/11, thousands of people converged on the area to volunteer for recovery and relief efforts. Experiences after the disaster had a substantial impact on their long-term mental and physical health. Lay volunteers had a higher prevalence of adverse mental and physical health conditions than affiliated volunteers and both groups had a higher prevalence than the general population (Brackbill, et al., 2009). Lay volunteers were more likely to belong to more than one eligibility group and to be NYC residents. It seems evident that most were in the area because they lived or worked nearby on the morning of 9/11. Most affiliated volunteers enrolled in the Registry were non-NYC residents, thus arriving later due to travel restrictions.

Previous studies have identified factors associated with increased risk of post-disaster depression, PTSD, and anxiety: witnessing horrific events, lack of preparation or training, sustaining an injury, personal identification or relationship with victims and losing a loved one (Brackbill, et al., 2009, Perrin, et al., 2007, Thormar, et al., 2010). Our findings indicate that lay volunteers were more likely to have experienced these factors than affiliated volunteers, thereby increasing their risk for post-disaster mental health conditions.

Lay volunteers present in lower Manhattan on 9/11 may have had more exposure to respirable particulate matter and other substances associated with asthma and other respiratory outcomes, especially those working on the pile (Brackbill, et al., 2009). Lay volunteers belonging to multiple eligibility groups were potentially subject to more 9/11-related exposures than other RRW such as dust contaminated homes or work places that have been associated with increased risk

Both volunteer groups reported unmet health care needs at W2, with the majority of whom were attempting to seek health care for a 9/11-related problem. Both groups also reported low utilization of post-9/11 medical monitoring and treatment programs. The frequency of reporting unmet health care needs was greater in lay volunteers (25.8% vs. 16.1%, p = 0.0001). Of those reporting unmet needs, a greater proportion of lay volunteers were unable to get care for a perceived 9/11-related problem (lay 70.0% vs. affiliated 42.7%). Less than 10% of all volunteers (8.7%) reported receiving services from a post-9/11 medical monitoring or treatment program and utilization of programs was lower among affiliated than lay volunteers (7.0% vs. 13.9%).

At the time of W2, awareness of these programs was low and some volunteers may have been unclear about program eligibility. The post-9/11 health care programs for RRW have strict eligibility criteria regarding duration of work at the disaster sites, which not all volunteers met. Many volunteers may have lacked documentation for acceptance into a program. During W2, most 9/11/medical monitoring and treatment programs were located in New York/New Jersey, while most affiliated volunteers (56.5%) lived outside of the area. Project Liberty, NYS’s primary post-9/11 crisis counseling program, provided services only to NYS residents (Donahue, et al., 2006). In 2002, due to the unique circumstances of 9/11, Congress authorized $25 million for Workers’ Compensation benefits for WTC volunteers who otherwise would have been ineligible for benefits. As such, a portion of volunteers’ post-9/11 medical related needs may have been met by the NYS Workers’ Compensation System. As of September 2009, over 1000 WTC volunteers had received benefits (New York State Workers’ Compensation Board, 2009). Enrollment for WTC-related NYS Workers’ Compensation ended 09/10/10.

One study limitation is selection bias. Despite efforts to include all eligible rescue/recovery volunteers, volunteers in the Registry may not be representative of all volunteers. Self-identified enrollees were more likely to report probable PTSD, new or worsening LRS, and newly diagnosed asthma than list-identified enrollees (Brackbill, et al., 2009, Farfel, et al., 2008). However, the prevalence of both PTSD and LRS was still two-fold higher among list-identified lay volunteers compared to list-identified affiliated volunteers (data not shown). Self-reported data collected up to five to six years after the disaster may be subject to recall bias. Volunteers with greater exposure to the disaster may have been more likely to recall and connect their symptoms to the disaster than volunteers with lesser exposure. Due to the lack of detailed documentation of actual tasks performed and duration of time worked by volunteers who did not perform work on the pile, we were unable to examine the full range of activities performed by all volunteers. Finally, there is a potential misclassification of volunteer type. As previously mentioned, many lay volunteers had to join a professional organization in order to continue volunteering. It is possible that not all individuals self-identifying as affiliated volunteers had the level of pre-disaster experience and/or training ordinarily provided by those organizations. This may have resulted in a differential misclassification error, which could have led to an underestimation of the differences between volunteer groups.

Conclusions

Man-made and natural disasters often require rapid deployment of large scale rescue, recovery and cleanup efforts. Disaster relief organizations, even if well prepared, could not have responded instantaneously to a disaster of 9/11’s magnitude because of logistic and travel limitations. Urgent post-disaster needs for rescue, recovery, and cleanup are

Table 3

<table>
<thead>
<tr>
<th>Mental and physical health outcomes among World Trade Center Health Registry volunteer groups’ 2006-07, N = 4,974.</th>
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<tbody>
<tr>
<td><strong>Post-9/11 mental health diagnoses</strong></td>
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<td>No</td>
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<td>Yes</td>
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</table>

Model 1 (Unadjusted)

| Lay Volunteers | 3.3 (2.9-3.7) | 2.6 (2.1-3.3) | 1.6 (1.0-2.5) |
| Affiliated Volunteers | 1.00 | 1.00 | 1.00 |

Model 2 (Adjusted)

| Lay Volunteers | 2.0 (1.8-2.4) | 1.8 (1.2-2.7) | 1.4 (0.9-2.3) |
| Affiliated Volunteers | 1.00 | 1.00 | 1.00 |

Table 4.2

Multinomial odds ratios for physical health outcomes among lay volunteers compared to affiliated volunteers.

<table>
<thead>
<tr>
<th>Physical health outcomes</th>
<th>Lay Volunteers</th>
<th>Affiliated Volunteers</th>
<th>Odds ratio (95% CI)</th>
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</thead>
<tbody>
<tr>
<td>LRS since 9/11b</td>
<td>3.4 (2.8-3.9)</td>
<td>2.8 (2.4-3.4)</td>
<td>1.6 (1.0-2.5)</td>
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<tr>
<td>Asthma/RADSd, e</td>
<td>1.00</td>
<td>1.00</td>
<td>1.00</td>
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Model 1 (Unadjusted)

| Lay Volunteers | 3.3 (2.9-3.7) | 2.6 (2.1-3.3) | 1.6 (1.0-2.5) |
| Affiliated Volunteers | 1.00 | 1.00 | 1.00 |

Model 2 (Adjusted)

| Lay Volunteers | 2.0 (1.8-2.4) | 1.8 (1.2-2.7) | 1.4 (0.9-2.3) |
| Affiliated Volunteers | 1.00 | 1.00 | 1.00 |

a Estimated via multinomial regression.
b Compared to no post-9/11 mental health diagnosis.
c Compared to no PTSD.
d Adjusted for recruitment source, gender, age on 9/11, race/ethnicity and education.
often filled by individuals who volunteer spontaneously, and it is likely that volunteers will continue to play an integral role in these efforts, despite exposure to potential health hazards.

Protecting disaster responders should be a major public health priority. Many cities and states have extensive disaster management plans, but few are equipped for long-term health surveillance on the scale of 9/11. The experience of both professional and volunteer responders to 9/11 indicates a need for the provision of site-specific training, regardless of prior disaster experience, to limit exposure to specific hazards and familiarize volunteers with safe operating procedures.

When exposure to toxic substances is suspected, agencies charged with monitoring long-term health of professional responders should extend this function to volunteers. For illnesses with long latency periods, monitoring activities should follow a cohort design, by establishing and maintaining a roster containing: names, contact information, baseline health screening, tasks performed, and timing and duration of work. An example is the database of clean-up workers and volunteers created after the 2010 oil spill in the Gulf of Mexico. These activities should be followed up with regular assessments of mental and physical health and health care needs, including a mechanism for making appropriate referrals to care. Rosters of volunteers will provide an avenue for outreach and education promoting awareness of post-disaster services such as monitoring and treatment programs and Workers’ Compensation benefits. Inevitably, monitoring and treatment costs will emerge as potential obstacles to maintaining such programs. The data presented in this study represent a first step in determining the magnitude of existing needs.

Conflict of interest statement
There is none to declare.

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