IMMIGRATION to the United States has reached a historic high, with nearly 1 million citizens naturalized in 1996. Approximately 600,000 came from countries where intestinal parasites are endemic. Health policy with respect to managing parasitic disease varies within the United States and internationally. Governmental recommendations include universal screening, selective screening, and watchful waiting. Economic analyses of these approaches have been inconclusive.

Presumptive treatment became a viable option in mid-1997, when the Food and Drug Administration approved albendazole, a broad-spectrum anthelmintic agent. This drug is inexpensive and is effective against Ascaris lumbricoides, Trichuris trichiura, hookworm (Ancylostoma duodenale and Necator americanus), Strongyloides stercoralis, Giardia lamblia, Opisthorchis viverrini, Taenia solium, and Hymenolepis nana. Albendazole has been administered presumptively to thousands of persons living in areas of endemic disease and has caused no side effects requiring medical attention in field trials. Its safety has not been tested extensively in children under two years of age or in pregnant women.

Given the safety, efficacy, and low cost of albendazole, presumptive treatment of all immigrants to the United States has intuitive appeal. Nonetheless, because thousands of immigrants would have to be treated to prevent a few serious adverse events, a formal cost-effectiveness analysis is needed to guide public health policy. We undertook such an analysis.

The data base of the Healthcare Cost and Utilization Project 3 (HCUP-3) contains information on hospital charges and mortality for 20 percent of all hospital discharges nationwide. These data, however, do not permit geographic areas with large numbers of immigrants to be separated from those with

From the Refugee Health Program, New York City Department of Health (P.M.), the Preventive Medicine Residency Program, New York City Department of Health and Columbia University School of Public Health (P.M., D.P.), and the Division of Sociomedical Sciences, Columbia University School of Public Health (R.L.S.) — all in New York; and the Welcome Trust Centre for the Epidemiology of Infectious Disease, University of Oxford, Oxford, United Kingdom (M.-S.C.). Address reprint requests to Dr. Muennig at the Refugee Health Program, New York City Department of Health, 125 Worth St., Box 21-A, New York, NY 10013.
few immigrants. Moreover, cases of parasitosis acquired in areas of the United States where parasites are endemic are included in the data base. The data base of the New York Statewide Planning and Research Cooperative System (SPARCS) contains information that allows the probability of hospitalization for parasitic disease to be calculated for a nonendemic area with a large proportion of immigrants. Outpatient morbidity can be estimated on the basis of Medicaid reports from the Claim Detail/Special Reports (CD/SR) System of the New York State Department of Health’s Office of Medicaid Management. We used data from these sources as the basis of our analyses.

In this report, outcomes are expressed in terms of disability-adjusted life-years (DALYs) averted. One DALY equals the loss of one healthy year of life to disease. One DALY averted is approximately equal to one quality-adjusted life-year gained. The DALY was chosen to facilitate comparisons with international studies.

METHODS

Overview and Definitions

Costs and outcomes were considered over the lifetime of the cohort, from the perspective of society as a whole. All future costs and DALYs were discounted at a rate of 3 percent. A cost-of-illness approach was used, and costs associated with secondary transmission and lost productivity were included. Our chief assumptions are listed in Table 1. The models did not take into account the presence or absence of symptoms, because symptoms do not necessarily correlate with infection.

The term “immigrants” in this study refers to documented and undocumented foreign entrants who plan to reside permanently in the United States; this group includes refugees and those seeking asylum. Immigrants at risk for parasitosis were defined as immigrants from one of the following five regions: Eastern Europe, Latin America and the Caribbean, sub-Saharan Africa, the Middle East, and Asia.

Decision-Analysis Models

A decision-analysis model was constructed with the use of DATA 3.0 software for the Macintosh computer (TreeAge Software, Williamstown, Mass.). The model examined three strategies: universal screening (with treatment of infected persons only), universal treatment, and no preventive intervention (watchful waiting). The variables used in the model are presented in Table 2.

Parasites included in the model were A. lumbricoides, Trich. trichiura, hookworm, and Strong. stercoralis. We excluded Schistosoma mansoni, O. viverrini, and H. nana because the SPARCS data predicted that the number of hospitalizations due to infections with these parasites would be negligible. Hospitalizations due to infections with Entamoeba histolytica and G. lamblia were excluded from the analysis because these organisms can be acquired in New York State, a fact that makes it difficult to determine whether the infection causing hospitalization was acquired domestically or abroad. Because Taen. solium, G. lamblia, O. viverrini, and H. nana are susceptible to albendazole, their exclusion caused the model to underestimate the benefits of presumptive treatment.

Each variable was tested for its influence on the results of the model in univariate and bivariate sensitivity analyses. The most plausible value for each variable was used to create a base-case result (Table 2). A best-case scenario was generated by assigning each variable the most extreme plausible value that would make presumptive treatment more cost effective. A worst-case scenario was created in a similar fashion.

Sensitivity of the Stool Test and Prevalence of Parasites

The sensitivity of the stool examination for ova and parasites varied according to the parasite. The prevalence of each parasite in the immigrant population was calculated from the known sensitivity of a single stool examination and the published rate of positive stool tests among immigrants to the United States.

Morbidity

Data on hospitalizations were obtained from the New York City component of the SPARCS data base. The number of preventable future hospitalizations per year was estimated on the basis of the mean number of hospitalizations per year from 1990 through 1996. The New York City data were used because one third of the city’s residents are immigrants, and because none of the parasites under study are endemic in the city.

The number of outpatient visits to health care providers was calculated on the basis of reports or Medicaid claims from the CD/SR System of the New York State Department of Health’s Office of Medical Management. The number of preventable future outpatient visits per year was estimated on the basis of the mean number of outpatient visits per year from 1992 through 1996. Because the CD/SR System contains only data on Medicaid recipients, these data were adjusted to reflect all at-risk immigrants on the basis of the proportion of immigrants receiving Medicaid.

To calculate the costs of future hospitalizations or out-

Table 1. Principal Assumptions of the Analysis and Evidence for and Against Them

<table>
<thead>
<tr>
<th>Assumption</th>
<th>Evidence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Treatment of Strong. stercoralis infection was recorded as the primary diagnosis. We assumed that such hospitalizations would not have occurred if there had been no Strong. stercoralis infection. We also ignored all costs associated with Strong. stercoralis infection as a nonprimary diagnosis.</td>
<td>Studies show that 85 to 100 percent of organisms are eliminated with a single 400-mg dose of albendazole; the present protocol recommends five doses. A partial reduction in the burden of parasites greatly reduces the chance of clinical sequelae because these parasites cannot reproduce in the host. Treatment of Strong. stercoralis infection with the prescribed regimen is 85 percent effective. Clinical trials suggest that 85 percent of infected patients are cured with the recommended regimen. A partial reduction in the burden of parasites may not reduce the chance of clinical sequelae because Strong. stercoralis can reproduce in the host. Compliance among immigrants is similar to compliance in the field trials used to establish the effectiveness of albendazole against strongyloides.</td>
</tr>
</tbody>
</table>

The analysis included only hospitalizations for which Strongyloides stercoralis infection was recorded as the primary diagnosis. We assumed that such hospitalizations would not have occurred if there had been no Strong. stercoralis infection. We also ignored all costs associated with Strong. stercoralis infection as a nonprimary diagnosis. No deaths were caused by infection with hookworm or Trichuris trichiura. No deaths due to infections with these organisms were recorded in the data base of the New York Statewide Planning and Research Cooperative System occurred in an immigrant. It is unusual for travelers to contract the parasites we studied. More than one third of the population of New York City is foreign-born. Cases of parasitosis in war veterans and institutionalized persons were excluded from the analysis. The entire cost of hospitalization for patients with a primary diagnosis of strongyloides was attributable to strongyloides. No deaths due to infections with these organisms were recorded in the data base.
patient visits in terms of present value, it was necessary to estimate the discounted probability of illness. This was defined as follows:

\[ P = \frac{N}{t} \times \sum_{i=1}^{N} \frac{1}{(1+r)^{t+i}}, \]

where \( P \) is the lifetime probability of illness due to a given parasite, \( N \) is the mean annual number of hospitalizations or outpatient visits in New York City, \( t \) is the life expectancy of the parasite in years, \( I \) is the total number of infected immigrants residing in New York City, and \( r \) is the discount rate. Whether infection with *Strongyloides stercoralis* resolves in a population over time has been a subject of debate. In the calculation of the rate of illness due to *Strongyloides stercoralis*, the value of \( I \) included all New York City immigrants infected with parasites, regardless of the year of immigration. This definition would result in an underestimate of \( P \) if the prevalence of parasitic infection decreased over time.

To predict the annual incidence of parasitic illness for all U.S. immigrants, we assumed that the same proportion of New York City immigrants would have these illnesses as of immigrants to the rest of the United States. This assumption was validated by a comparison of the predicted number of hospitalizations nationwide with the actual number as recorded in the HCUP-3 database. The predicted number of hospitalizations due to *Ascaris lumbricoides*, *Trichuris trichiura*, and hookworm infections was equivalent to 84 percent of the hospitalizations recorded in HCUP-3.

### Table 2. Selected Variables Used in the Decision-Analysis Model

<table>
<thead>
<tr>
<th>VARIABLE</th>
<th>BASE-CASE VALUE</th>
<th>RANGE</th>
<th>COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cost ($)*</td>
<td>Albendazole</td>
<td>9</td>
<td>9–11</td>
</tr>
<tr>
<td></td>
<td>Inpatient care</td>
<td>5,496</td>
<td>2,748–5,496</td>
</tr>
<tr>
<td></td>
<td><em>Ascaris lumbricoides</em> infection</td>
<td>4,733</td>
<td>2,367–4,733</td>
</tr>
<tr>
<td></td>
<td>Hookworm infection</td>
<td>8,161</td>
<td>4,081–8,161</td>
</tr>
<tr>
<td></td>
<td><em>Strongyloides stercoralis</em> infection</td>
<td>31,940</td>
<td>15,970–31,940</td>
</tr>
<tr>
<td></td>
<td>Outpatient visit</td>
<td>132</td>
<td>123–210</td>
</tr>
<tr>
<td></td>
<td>Follow-up</td>
<td>32</td>
<td>32–100</td>
</tr>
<tr>
<td></td>
<td>Stool examination</td>
<td>67</td>
<td>67–150</td>
</tr>
<tr>
<td></td>
<td>Negative</td>
<td>35</td>
<td>24–55</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Years of life lost per death†</td>
<td>0.0098</td>
<td>0.0041–0.0098</td>
</tr>
<tr>
<td></td>
<td></td>
<td>0.00197</td>
<td>0.0009–0.0048</td>
</tr>
<tr>
<td></td>
<td></td>
<td>0.01</td>
<td>0–0.05</td>
</tr>
<tr>
<td></td>
<td></td>
<td>0.85</td>
<td>0.6–1.0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>0.0176</td>
<td>0.05–0.61</td>
</tr>
<tr>
<td></td>
<td></td>
<td>0.036</td>
<td>0.001–0.05</td>
</tr>
</tbody>
</table>

*Costs have been rounded to the nearest dollar. †Hookworm and *Trichuris trichiura* infections were assumed to cause no deaths. HCUP-3 denotes Healthcare Cost and Utilization Project 3. 

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mated mortality from *A. lumbricoides* infection to be approximately 5 percent among those hospitalized, on the basis of a weighted mean of case fatality rates in the international literature. One country for which data were used in devising this weighted mean, Brazil, had a mortality rate twice as high as the mean for the group of countries; it was considered an outlier and removed from the present analysis. This exclusion reduced the weighted mean mortality rate to 3.6 percent.

**Costs**

The wholesale costs of albendazole were obtained from Medi-Span, a data base of wholesale drug costs. It was assumed that the cost of distribution of the medication would be $0.25 per patient, resulting in a total cost of $8.81 per patient for a regimen of 400 mg per day for five days. Although albendazole caused no side effects requiring medical attention in field trials, it was assumed that 1 percent of all treated immigrants would require a follow-up visit.

The costs of lost productivity for all immigrant groups were estimated on the basis of data from the Office of Refugee Resettlement. The average length of the hospital stay for the treatment of each type of parasite was used to estimate lost wages due to hospitalization. It was assumed that patients would require two working days for recovery after hospitalization and would lose two hours’ worth of wages as a result of outpatient visits.

Mean hospital charges were derived from the HCUP-3 data. Medicaid reimbursement rates were used to estimate outpatient costs associated with parasitic illness and with medical visits for the evaluation of patients with positive stool samples and side effects of medication. All costs were converted to 1997 dollars with use of the medical portion of the Consumer Price Index.

**DALYs**

DALYs averted were calculated according to the methods and disability weights cited in Murray and Lopez, with use of the Mathematica software package (Wolfram Research, Oxfordshire, United Kingdom). So as to ensure comparability with other North American cost-effectiveness studies, DALYs were not weighted for age.

**RESULTS**

**Costs Associated with Preventive Intervention**

The model predicted that at least 33 deaths, 374 hospitalizations, and $4.2 million in costs would be averted annually if all 1996 immigrants from the five regions received presumptive treatment rather than no preventive intervention (Table 3). When applied separately to each of the regions considered, the model predicted that presumptive treatment would save costs for immigrants from all regions but the Middle East, where the incremental cost would equal $4.630 per DALY averted or $5,029 per hospitalization averted. The model predicted that screening would avert fewer DALYs than presumptive treat-

---

**Table 3. Cost Effectiveness of Presumptive Treatment and Selective Screening, as Compared with No Preventive Intervention.**

<table>
<thead>
<tr>
<th>VARIABLE</th>
<th>REGION OF ORIGIN</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td><strong>ASIA</strong></td>
<td><strong>MIDDLE EAST</strong></td>
</tr>
<tr>
<td>No. of immigrants in 1996</td>
<td>282,632</td>
<td>5,047</td>
</tr>
<tr>
<td>No preventive intervention</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gross cost ($)</td>
<td>6,330,957</td>
<td>40,073</td>
</tr>
<tr>
<td>Hospitalizations (no.)</td>
<td>228</td>
<td>1</td>
</tr>
<tr>
<td>Treatment with albendazole</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gross cost ($)</td>
<td>3,227,657</td>
<td>46,634</td>
</tr>
<tr>
<td>Net cost ($)†</td>
<td>-3,103,299</td>
<td>6,561</td>
</tr>
<tr>
<td>Lives saved (no.)</td>
<td>21</td>
<td>0</td>
</tr>
<tr>
<td>DALYs averted (no.)</td>
<td>543</td>
<td>1</td>
</tr>
<tr>
<td>Cost/DALY averted ($)‡</td>
<td>-4,630</td>
<td>-</td>
</tr>
<tr>
<td>Cost/hospitalization averted ($)§</td>
<td>-</td>
<td>5,029</td>
</tr>
<tr>
<td>Screening</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gross cost ($)</td>
<td>18,077,143</td>
<td>256,741</td>
</tr>
<tr>
<td>Net cost ($)</td>
<td>11,746,186</td>
<td>216,638</td>
</tr>
<tr>
<td>Incremental cost ($)§</td>
<td>14,849,485</td>
<td>210,107</td>
</tr>
<tr>
<td>Lives saved (no.)</td>
<td>7</td>
<td>0</td>
</tr>
<tr>
<td>DALYs averted (no.)</td>
<td>115</td>
<td>0</td>
</tr>
<tr>
<td>Cost/DALY averted ($)</td>
<td>101,801</td>
<td>722,372</td>
</tr>
<tr>
<td>Cost/hospitalization averted ($)</td>
<td>56,691</td>
<td>169,981</td>
</tr>
</tbody>
</table>

*DALY denotes disability-adjusted life-year. Because all figures have been rounded to the nearest whole number, it may not be possible to reproduce exact calculations. Costs and DALYs have been discounted at 3 percent.

†A negative number indicates a cost savings.

‡Cost savings were realized for all regions except the Middle East. No values are shown for other regions, because cost-effectiveness ratios cannot be calculated for cost-saving interventions.

§Costs shown are the amounts by which the costs with screening exceed those with presumptive treatment.
ment in all regions; it also predicted that screening would cost $159,236 per DALY averted and $85,989 per hospitalization averted, as compared with watchful waiting.

**Sensitivity Analyses**

The outcome of each strategy was most sensitive to changes in the following variables, in descending order of sensitivity: the prevalence of *Strongyloides stercoralis* infection, the probability that treatment will be successful, the probability that the medication produces side effects, the probability of hospitalization due to *Strongyloides stercoralis*, and the cost of hospitalization.

In a bivariate sensitivity analysis, the mean prevalence of *Strongyloides stercoralis* was varied between zero and the highest values obtained from the medical literature (Fig. 1). The threshold value for cost savings was tested for each variable to which the model was sensitive (Table 4). The outcome was qualitatively unchanged (i.e., presumptive treatment resulted in cost savings as compared with watchful waiting) for all plausible values used for all variables tested. Presumptive treatment also saved money when we used discount rates ranging from 0 percent (1439 DALYs averted and $8.6 million saved) to 5 percent (32 DALYs averted and $2.7 million saved).

In the best-case scenario, presumptive treatment would save $16.4 million while preventing 1976 DALYs and averting 700 hospitalizations, as compared with watchful waiting. In the worst-case scenario, presumptive treatment would cost $25,644 per DALY averted and $16,481 per hospitalization averted, also as compared with watchful waiting.

**DISCUSSION**

If all immigrants to the United States in 1996 who were at risk for intestinal parasitosis had been given a five-day course of albendazole (400 mg orally per day), 33 lives would have been saved, 374
hospitalizations would have been averted, and 870 DALYs would have been averted. Holding prevalence constant, the best-case scenario predicted a cost savings of $16.4 million and prevention of 1976 DALYs, and the worst-case scenario predicted a cost of $25,644 per DALY averted.

Outcomes differed among the regions of origin of immigrants according to the prevalence of parasites. For an immigrant group with a known prevalence, Figure 1 can be used to determine whether or not presumptive treatment would save costs.

The exclusion of parasites susceptible to albendazole and the use of conservative assumptions about the probability of illness due to *Strong. stercoralis* decreased the predicted cost savings associated with the substitution of presumptive treatment for watchful waiting. Despite the fact that the model was conservative with respect to the benefits of presumptive treatment, savings were still predicted. This increases the likelihood that cost savings would result if perfect data were available.

The decision-analysis model was robust when changes were made in all variables over the range of their plausible values, and cost savings with presumptive treatment persisted in all univariate sensitivity analyses. Even in the worst-case scenario, the cost-effectiveness ratio for presumptive treatment of parasites compares favorably with that for the prevention of opportunistic infections in patients with the acquired immunodeficiency syndrome. The mathematical model used to calculate morbidity due to parasites underestimated the total number of cases recorded in the HCUP-3 data base. Because some of the hospitals included in this data base were located in areas where the parasites we studied were endemic, it is likely that the model predicts the prevalence of illness in immigrant populations more accurately than would the HCUP-3 data base.

*Strong. stercoralis* infection was responsible for most of the deaths and hospital costs in this study. This organism typically causes severe illness in patients receiving immunosuppressive therapy. We assumed that the costs of hospitalization for the underlying illnesses leading to immunosuppressive therapy would not have been incurred had the patient been uninfected; however, clinical strongyloidiasis may have developed in some patients during prolonged hospital stays for other illnesses. The effect of this assumption on costs is offset by the conservative assumptions used to determine the probability of illness due to the organism. Furthermore, only patients with a primary diagnosis of strongyloidiasis were included in the analysis.

Mortality due to *Strong. stercoralis* infection almost invariably results from the hyperinfection syndrome, which is fatal in 61 percent of cases in hospitalized persons. Among uninfected patients with conditions that commonly predispose patients to the hyperinfection syndrome, less than 0.1 percent of those in the SPARCS data base died while in the hospital, whereas 16.7 percent of hospitalized patients with a primary diagnosis of massive strongyloidiasis died.

Another limitation of the study is that *E. histolytica* was not included in the decision-analysis model because it is present in untreated water sources in New York State. Inclusion of this parasite might have decreased the marginal cost of screening as compared with watchful waiting, but it would have had no effect on the cost of treatment as compared with watchful waiting. Finally, the true savings to society may have been underrepresented because it was necessary to exclude *Taen. solium*, *G. lamblia*, *O. viverrini*, and *H. nana* infections, which are susceptible to albendazole therapy.

In rare instances, *O. viverrini* causes cholangiocarcinoma, a fatal cancer that may be prevented by the treatment regimen we studied in infected persons who have not yet undergone malignant changes. Neurocysticercosis is the most common inpatient disorder due to parasitic infection. Public health benefits may be realized from eradicating *Taen. solium* by means of mass treatment. There is a hypothetical risk of seizure or blindness associated with the treatment of occult neurocysticercosis, but no side effects requiring medical attention have occurred in thousands of patients in areas where the disease is endemic who have received albendazole at doses similar to those used in this study. Clinicians may wish to inquire about the presence of headaches or seizures before initiating presumptive treatment, and they should not consider treatment a substitute for other preventive or diagnostic tests, such as liver-enzyme studies.

The cost of albendazole treatment in this analysis was $8.56 (exclusive of the distribution charge), because this was the prevailing wholesale price in the United States in 1997, but government purchasers in the United States would pay less. This regimen can be purchased for as little as $0.71 in other countries. Ivermectin, an alternative drug for presumptive treatment that is more effective against *Strong. stercoralis* than albendazole, was not analyzed because of its high cost ($19.30) and its narrow spectrum of coverage.

Cost savings resulting from medical interventions are rare. Precedents for such cost savings include targeted vaccination and contraceptive use by adolescents. Though the treatment strategy we studied did not reduce medical costs for immigrants from the Middle East — because of the low prevalence of parasites in that population — the cost per DALY averted was similar to the costs associated with smoking cessation according to guidelines of the Agency for Health Care Policy and Research. The costs per DALY averted for screening of Asian and
sub-Saharan African immigrants were similar to those associated with vaccination against hepatitis A in health care workers.32

We are indebted to Marcelle Layton, M.D., Susan Cookson, M.D., and Martin Cetron, M.D., for their help with the design of the cost-effectiveness analysis and with editing of the manuscript and to Burt Roberts, M.P.A., for assistance with the preparation of the manuscript.

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